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联合国  
粮食及  
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Food  
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of  
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United  
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Organisation  
des  
Nations  
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pour  
l'alimentation  
et  
l'agriculture

Продовольственная и  
сельскохозяйственная  
организация  
Объединенных  
Наций

Organización  
de las  
Naciones  
Unidas  
para la  
Agricultura  
y la  
Alimentación

### Item 3.3 of the Provisional Agenda

#### COMMISSION ON GENETIC RESOURCES FOR FOOD AND AGRICULTURE

#### INTERGOVERNMENTAL TECHNICAL WORKING GROUP ON ANIMAL GENETIC RESOURCES FOR FOOD AND AGRICULTURE

#### Sixth Session

Rome, 24-26 November 2010

#### ***FUNDING STRATEGY FOR THE IMPLEMENTATION OF THE GLOBAL PLAN OF ACTION FOR ANIMAL GENETIC RESOURCES, INCLUDING ADMINISTRATIVE ARRANGEMENTS FOR THE FAO TRUST ACCOUNT***

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**FUNDING STRATEGY FOR THE IMPLEMENTATION OF THE GLOBAL PLAN  
OF ACTION FOR ANIMAL GENETIC RESOURCES,  
INCLUDING ADMINISTRATIVE ARRANGEMENTS FOR THE FAO TRUST  
ACCOUNT**

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## I. INTRODUCTION

1. The Commission on Genetic Resources for Food and Agriculture (Commission), at its Twelfth Regular Session, adopted the *Funding Strategy for the implementation of the Global Plan of Action for Animal Genetic Resources*<sup>1</sup> (Funding Strategy) and requested FAO to implement it<sup>2</sup>. In addition, the Commission requested FAO to report to the Intergovernmental Technical Working Group on Animal Genetic Resources (Working Group) on the administrative arrangements for the FAO Trust Account established for the implementation of the *Global Plan of Action*.
2. According to the Funding Strategy, the Commission shall monitor the implementation of the Funding Strategy and assess performance and efficacy through the information provided in Country Progress Reports, status and trends reports, FAO Synthesis Reports and FAO Progress Reports. Information and reporting on resources under the FAO Trust Account established for the implementation of the *Global Plan of Action for Animal Genetic Resources* is outlined in *Annex I* to the Funding Strategy. Information and reporting on resources not under the FAO Trust Account is outlined in *Annex II* to the Funding Strategy. According to the Funding Strategy, reporting to the Commission is normally required every two years, or according to the periodicity of the regular sessions of the Commission. Reporting periods will normally cover the preceding two full calendar years before each meeting of the Commission. At the Fourteenth and Sixteenth Sessions of the Commission, standalone progress reports on the operation and effectiveness of Funding Strategy will be presented. Based on its monitoring, the Commission may wish to review the Funding Strategy, including the annexes thereto, every second regular session or as necessary.
3. This document provides information on the use of resources under the FAO Trust Account, including on the administrative arrangements for the FAO Trust Account, and on resources which are not under the FAO Trust Account. Information on partnerships and alliances pursued by FAO with other international mechanisms and organizations to facilitate the implementation of the *Global Plan of Action* is given in document, *Detailed FAO Progress Report on the implementation of the Global Plan of Action for Animal Genetic Resources*<sup>3</sup>.

## II. USE OF RESOURCES UNDER THE FAO TRUST ACCOUNT

### Contributions to the FAO Trust Account

4. In response to the *Global Plan of Action*, FAO established in 2009 a FAO Trust Account<sup>4</sup>. Contributions received as of 1 October 2010 are shown in Table 1. Discussions with donors are on-going and donors have indicated additional contributions. The level of funds in the Trust Account could reach USD 500,000 before the Thirteenth Regular Session of the Commission.

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<sup>1</sup> CGRFA-12/09/Report, Appendix C.

<sup>2</sup> CGRFA-12/09/Report, paragraph 43.

<sup>3</sup> CGRFA/WG-AnGR-6/10/Inf.2.

<sup>4</sup> *Global Plan of Action for Animal Genetic Resources*, paragraph 68.

Table 1: Voluntary contributions (in USD) to the FAO Trust Account (GCP/GLO/287/MUL)<sup>5</sup>

Donor	Donor group	Donor region	Starting date	Total Contribution (USD)
Switzerland	Government	Europe	December 2009	50,000

### Administrative arrangements

5. The Commission, at its Twelfth Regular Session, requested FAO to report to the Working Group on the administrative arrangements for the FAO Trust Account. As part of the on-going FAO reform, administrative procedures are currently being revised to enhance efficiency, accountability and transparency. The project cycle of the first Call for Proposals under the Benefit-sharing Fund of the Funding Strategy for the Implementation of the International Treaty on Plant Genetic Resources for Food and Agriculture used the Letter of Agreement (LoA) as contractual instrument. The Manual Section which regulates the use of LoA to obtain services from non-commercial entities is under revision, and a Small Grant Agreement is being considered. Under a pilot arrangement, LoAs have been used since 2006 by FAO's National Forestry Programme facility to provide capacity building grants to eligible entities in developing countries. Based on successful piloting, the revised Manual Section will be accompanied by a recommendation that an LoA template be developed as a Small Grant Agreement. Users would have to fully comply with the requirements of the revised Manual Section concerning LoA, regarding (i) overall accountability and ethics, and, in particular, (ii) transparency and impartiality in the selection of service providers (and in the case of a small grant, recipient organizations). Compliance will be scrutinised as required by the Manual Section by a Quality Assurance office or officer depending on the value of the LoA.

### Future disbursement of funds in the Trust Account, including administrative and scientific support costs

6. The Funding Strategy, in Section C on "Operational procedures for the use of funds received through the FAO Trust Account for the Funding Strategy" details steps to be taken by the Commission, the Working Group and the FAO Secretariat in the two-year project cycle from issuing calls for proposals, concept note submission via project proposal approval to project monitoring and evaluation. The Commission decides on and issues the opening call for proposals. Furthermore, the Commission or the delegated authority approves projects for funding<sup>6</sup>.

7. In order to keep the administration related to the FAO Trust Account commensurate with the size of its available budget<sup>7</sup>, FAO has not yet started the call for proposals. The experience with the project cycle of the first Call for Proposals under the Benefit-sharing Fund of the Funding Strategy for the Implementation of the International Treaty on Plant Genetic Resources for Food and Agriculture (*Annex I*) indicates that for small scale grants the administrative and support costs for preparing and launching the Call for Proposals, screening until approval and contracting for the approved projects amounted to at least USD 0.50 per one USD disbursement. Contributions to cover the administrative and support costs related to a first call for proposals are therefore being discussed with donors.

<sup>5</sup> Field Programme Management Information System (FPMIS), and <http://www.fao.org/tc/tcom>.

<sup>6</sup> Funding Strategy, Section C, Part II, "The Commission may wish to delegate the approval of the projects between its sessions with an agreed ceiling and up to a limited percentage of the total budget available in the project cycle, and under which circumstances."

<sup>7</sup> CGRFA-12/09/Report, paragraph 43.

8. A draft first call for proposals is contained in *Annex 2* to this document. In case the Working Group recommends to request that the Commission, in its Thirteenth Regular Session, approve issuing the first call for proposals in case the threshold of USD 500,000 in the Trust Account is reached, the Working Group may also consider providing advice on:

- specific components of the initially suggested priorities in Section A of the Funding Strategy;
- the definition of a monetary cap for projects (e.g. USD 50.000 or 100.000);
- the time frame for proposals (one or two years);
- the delegation of project approval from the Commission to its Bureau with one additional, representative for each region<sup>8</sup>.

### III. INFORMATION ON RESOURCES NOT UNDER THE FAO TRUST ACCOUNT

#### **FAO Regular Programme resources of direct relevance to animal genetic resources for food and agriculture**

9. In the 2008-2009 PWB, a programme entity 2BA03 - *Support to the Global Plan of Action for Animal Genetic Resources* covered all headquarter-based activities, including the “Technical support to the Commission on Genetic Resources for Food and Agriculture, its Multi-Year Programme of Work and its Intergovernmental Technical Working Group.” In 2009, the programme entity spent a total of USD 2,272,000, with USD 1,356,200 for non-staff.

10. In FAO’s Medium Term Plan (MTP) 2010-13 and its Programme of Work and Budget (PWB) 2010-11<sup>9</sup>, animal genetic resources-related activities in FAO Headquarters are listed under Organizational Result B03 – *Better management of natural resources, including animal genetic resources, in livestock production* of Strategic Objective B – *Increased sustainable livestock production*. Further activities related to animal genetic resources can be found under the Organizational Results F03 (*Policies and programmes are strengthened at national, regional and international levels to ensure the conservation and sustainable use of biological diversity for food and agriculture and the equitable sharing of benefits arising from the use of genetic resources*) supporting the work of the Commission, and F05 (*Countries have strengthened capacities to address emerging environmental challenges, such as climate change and bioenergy*) of Strategic Objective F – *Sustainable management of land, water and genetic resources and improved responses to global environmental challenges affecting food and agriculture*. In addition, the majority of decentralized offices have established Unit Results or products related to the implementation of the *Global Plan of Action*, based on the requirements of each region.

11. An overview of resources by Unit Result and region can be found in Table 2. The FAO wide planned regular programme funding for the 2010-2011 biennium adds up to USD 4.89 million. Due to the decentralization of activities to (sub-)regional offices and ongoing implementation of the results-based management, a comparison of resources dedicated to the *Global Plan of Action* between the past and current biennium is hardly possible, and also information on current planned resources is incomplete for the following reasons:

- While results-based management structures are already in place in FAO headquarter, they are less advanced in (sub-)regional offices and will be implemented in pilot country offices not until the 2012-2013 biennium. Only after all country offices are included, outcomes of individual country projects (Technical Cooperation Projects (TCP) and

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<sup>8</sup> Funding Strategy, Section C, Part II, 6 d “Responsibility for the above tasks: the Commission or a delegated authority, such as the Bureau of the Commission and, in addition, one representative per each region.”

<sup>9</sup> C 2009/15.

others) related to the *Global Plan of Action* would need to be linked to organizational results of FAO's Strategic Framework.

- Although (sub-) regional offices assign most of the *Global Plan of Action* related interventions to Organizational Result B03, they do not always create specific Unit Results, but merge them with other livestock or more globally environment related interventions.
- FAO has been involved in the development of national Technical Cooperation Projects and Technical Cooperation Project-Facilities that may lead to larger investment projects. Since the (sub-)regional offices are the lead technical units for most TCPs, FAO's Animal Production and Health Division (AGA) at headquarters presently lacks a complete inventory of projects that are explicitly or implicitly related to actions of the *Global Plan of Action*.
- (Sub-)regional offices have not yet allocated staff time to Unit Results. In addition, different types of cooperation between headquarters and (sub-)regional offices take place (e.g. joint funding of regional workshops, assistance in TCPs if specific skills are unavailable in (sub-)regional offices; translation of headquarter products into regional languages, etc.) that are not (yet) fully captured by Table 2.

Table 2: Planned resources (in USD) from assessed and voluntary contributions dedicated to the *Global Plan of Action* by region and Unit Result, excluding Technical Cooperation Programmes<sup>10</sup>, in the 2010-2011 biennium as of July 2010.

Region / Unit Result Lead Unit / Unit Result		Assessed contributions - planned resources	Voluntary contributions - planned resources	Total planned resources
GLO – Global		3,252,285	716,720	3,969,005
AGA - Animal Production and Health Division		3,252,285	716,720	3,969,005
	B03G120 - Countries and regions are supported in the implementation of the Global Plan of Action for Animal Genetic Resources	1,694,095	352,510	2,046,605
	F03G104 - Support to the implementation of the Multi-Year Programme of Work of the Commission on Genetic Resources for Food and Agriculture, on animal genetic resources	1,119,853	364,210	1,484,063
	F05G140 - Indicators and modelling tools for assessing the impact of climate change on livestock agrobiodiversity	74,833	0	74,833
AGE Joint FAO/IAEA Division				
	B03G105 - Animal genetic resources characterized	363,505	0	363,505
RAF – Africa		414,645	38,912	453,557
RAF - Regional Office for Africa (Accra)		218,815	0	218,815
	B03A127 - Management and conservation of regional and national animal genetic resources in Africa region are improved	218,815	0	218,815
SFC - Subregional Office for Central Africa		104,695	38,912	143,607
	B03A123 - Strengthened capacities in implementing the GPA in the sub region	104,695	38,912	143,607
SFS - Subregional Office for Southern Africa		91,134	0	91,134

<sup>10</sup> Source: PIREs report as of July 15<sup>th</sup> 2010. Note that this is not a financial report prepared by the Finance Division of FAO.

Region / Unit Result Lead Unit / Unit Result			Assessed contributions - planned resources	Voluntary contributions - planned resources	Total planned resources
		B03A115 - Improved knowledge on, access to, and use of information on best practices in Animal Genetic Resources management and proven animal-environment interactions-based technologies for livestock production systems in Southern Africa and support the Global Plan of Action to achieve better management of natural resources	91,134	0	91,134
RAP - Asia and Pacific			78,085	0	78,085
	RAP - Regional Office for Asia and the Pacific		78,085	0	78,085
		B03P122 - Country support to animal genetic resources management and implementation of GPA enhanced and Regional Focal Point for AnGr in Asia established	78,085	0	78,085
REU – Europe and Central Asia			220,223	0	220,223
	SEU - Subregional Office for Central and Eastern Europe		220,223	0	220,223
		B03E111 - Animal genetic resource strategies and action plans are developed according the Global Plan of Action for Animal Genetic Resources	220,223	0	220,223
RNE - Near East			172,110	0	172,110
	SNE - Subregional Office for North Africa		172,110	0	172,110
		B03N125 - Animal identification and recording are improved for food security and management of genetic resources	172,110	0	172,110
Total			4,137,349	755,632	4,892,981

Voluntary contributions are only included if they were certain at the point of PWB preparation

### Voluntary contributions to FAO

12. In FAO's new Strategic Framework, the animal genetic resources related activities are also covered by the Impact Focus Area on *Global standard setting and implementation into national policies and legislation* (IFA-SNL) meant to attract specific donor funding. Currently some donors provide programmatic funds to strengthen the synergies between normative and operational activities, and between global and country-level programmes. Such programmatic funds are fully integrated in the Strategic Objectives and Organizational Results defined in FAO's PWB for the 2010-11 biennium and approved by the Thirty-sixth Session of the FAO Conference, with particular emphasis on multidisciplinary initiatives (Table 3).

13. Trust funds for genetic resources had been established under the Strategic Partnership for Rural Development between Sweden and FAO, under the FAO-Norway Partnership Cooperation Agreement and the FAO-Turkish Partnership Programme. The funds under these programme cooperation agreements helped FAO to provide catalytic funds for special activities at all levels. FAO is also associated with several European Commission-funded projects that provide stipends for developing-country participants and support the generation and dissemination of knowledge. The activities undertaken are described in detail in document, *Detailed FAO Progress Report on the implementation of the Global Plan of Action for Animal Genetic Resources*<sup>11</sup>. FAO is also

<sup>11</sup> CGRFA/WG-AnGR-6/10/Inf.2.

currently preparing a multi-donor trust fund programme to facilitate the implementation of the *Global Plan of Action*.

Table 3: Approximate expenditure for the implementation of the *Global Plan of Action* and the Commissions Multi-Year Programme of Work from various FAO trust funds, January 2009 to September 2010<sup>12</sup>.

Trust fund	Donors	Period	Amount for AnGR in USD
<b>FAO headquarters</b>			
Preparation and Organization of the International Technical Conference on Animal Genetic Resources	Switzerland, Spain, USA, Ireland, Australia	2009-Aug 2010	121,733
FAO/SIDA Partnership Cooperation within the Field of Rural Development Pilot Phase 2008-2009 - with specific support to the International Treaty on Plant Genetic Resources for Food & Agriculture and Animal Genetic Resources (AGPM*) – AnGR component	Sweden	2009-Dec 2010	307,287
FAO/Multi-donor Partnership Programme (FMPP) - National policies, legal instruments and supporting mechanisms that respond to domestic requirements and are consistent with the international policy and regulatory framework (AGPD*) - AnGR component	Norway	2009-April 2010	17,235
FAO/Multi-donor Partnership Programme (FMPP) - International instruments concerning food, agriculture, fisheries and forestry, and the production, safe use and fair exchange of agricultural, fishery and forestry goods (NRD*) - AnGR component	Norway	2009-April 2010	94,551
UNEP-GEF 2010 Biodiversity Indicator Partnership (AGPD*) - AnGR component	GEF	2009-Dec 2010	104,677
Apoyo a la acción multilateral de FAO en materia de recursos genéticos: ejecución del Programa Plurianual de la Comisión de Recursos Genéticos para la Alimentación y la Agricultura (NRD*) - AnGR component	Spain	2010-2012	17,575
Organization of National Coordinator Workshop and the 6 <sup>th</sup> Session of the Intergovernmental Technical Working Group on Animal Genetic Resources (AGAG*)	Germany	October 2010-2011	82,800
<b>FAO (Sub)regional offices</b>			
<b>Subregional Office for Central Asia</b>			
Promoting the management of animal genetic resources in SEC countries	Turkish Partnership Programme (FTPP),	2011-2012	700,000

<sup>12</sup> Field Programme Management Information System (FPMIS), and <http://www.fao.org/tc/tcom>. Note that this is not a financial report prepared by the Finance Division of FAO.



Cattle production improvement module for SEC countries (Tajikistan, Kyrgyzstan, Azerbaijan and Uzbekistan)	F TPP, Turkish International Development Agency, Turkish Cattle Breeders Association	2011-2012	400,000
<b>Total</b>			<b>1,845,858</b>

\*Recipient unit within FAO.

### Resources not under FAO control

14. The Funding Strategy lists four different types of resources relevant to the Funding Strategy, including resources which are not under FAO control<sup>13</sup>, namely:

- bilateral, regional and multilateral support to countries for the implementation of the *Global Plan of Action*, including support to countries for the implementation of the *Global Plan of Action* provided by foundations, the private sector, non- governmental organizations and other sources, and
- financial resources for national activities for the conservation and sustainable use of animal genetic resources.

15. FAO has a facilitating role in enhancing countries' access to information on funding, in that it continues to provide information on funding sources and grants through the DAD-net discussion network. Furthermore, the Domestic Animal Diversity Information System DAD-IS has been linked to the Facilitating Mechanism for the Implementation of the Global Plan of Action for the Conservation and Sustainable Utilization of Plant Genetic Resources for Food and Agriculture (FM-PGRFA)<sup>14</sup> so that the donor database of the FM-PGRFA is accessible to National Coordinators for Animal Genetic Resources.

16. The document, *Results of informal surveys on progress in country implementation of the Global Plan of Action for Animal Genetic Resources*<sup>15</sup>, indicates that after the adoption of the *Global Plan of Action* there is a new and unprecedented momentum for the promotion of the wise management of animal genetic resources as a means to improve food security and sustainable development worldwide. FAO had no direct role in most of the country activities highlighted in this document. The country experiences imply that countries have made strategic use of national, bilateral and multilateral resources to advance the implementation of the *Global Plan of Action*.

### Future reporting

17. FAO's biennial programme and budget planning cycle of the PWB and the Commission's ten-year planning within the Multi-Year Programme of Work provide a flexible and predictable framework to facilitate the implementation of the *Global Plan of Action* and the Funding Strategy. Both have been conceived as "rolling" documents, allowing for learning, with

<sup>13</sup> Funding Strategy, Article 3.

<sup>14</sup> The aim of the Facilitating Mechanism and the corresponding portal is to foster the implementation of the Global Plan of Action for the Conservation and Sustainable Utilization of Plant Genetic Resources for Food and Agriculture. To this end it provides access to a wide range of information, including funding sources, regarding activities in the field of Plant Genetic Resources for Food and Agriculture (PGRFA). For more information please visit <http://www.globalplanofaction.org/>.

<sup>15</sup> CGRFA/WG-AnGR-6/10/Inf.10.

pre-determined reviews. The first review of the Funding Strategy is planned for the Fourteenth Regular Session, the second review of the Funding Strategy and first review of the *Global Plan of Action* is planned for the Sixteenth Regular Session of the Commission<sup>16</sup>.

18. In parallel to FAO's PWB reporting on the use of assessed and voluntary contributions, the Commission will monitor the implementation of the *Global Plan of Action* and its Funding Strategy and assess performance and efficacy through process and resources indicators. The *Global Plan of Action* states that the Commission should agree on "the criteria and parameters for the evaluation of the progress in the implementation of the *Global Plan of Action for Animal Genetic Resources*"<sup>17</sup>. The information for process monitoring will be provided in Country Progress Reports, FAO Synthesis Reports and FAO Progress Reports<sup>18</sup>. Information or resource indicators will be provided through national breed reporting into FAO's Global Databank in DAD-IS and the status and trends reports compiled by FAO therefrom, as agreed by the Commission<sup>19</sup>. The Funding Strategy has detailed the criteria for reports the Commission will receive on resources in the FAO Trust Account<sup>20</sup>. Figure 1 illustrate the substantial and financial reporting requirements of the Funding Strategy.

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<sup>16</sup> CGRFA-12/09/Report, Appendix G, page 14-17.

<sup>17</sup> *Global Plan of Action for Animal Genetic Resources*, Part III, paragraph 52. This has already been partly implemented during the Twelfth Session of the Commission when a detailed reporting mechanism including type of reports, reporting lines and schedules has been agreed on in the MYPoW.

<sup>18</sup> CGRFA/WG-AnGR-5/09/3.1.

<sup>19</sup> CGRFA/WG-AnGR-5/09/3.2. ; CGRFA/WG-AnGR-6/10/Inf.3.

<sup>20</sup> Funding Strategy, Article 3 and Annex I, Section D II. Information and reporting on resources in the FAO Trust Account, and Section C, article 8.

Figure 1: Funding Strategy reporting requirements by reporting level.

FS Reporting Requirements	Illustration			
<b>FAO progress report</b>				
<u>Spending per</u> <ul style="list-style-type: none"> <li>Strategic Priority Area</li> <li>Stakeholder group, region, country</li> <li>Species/Breeds addressed</li> </ul> <u>Donor information</u> <ul style="list-style-type: none"> <li>By contributors category</li> <li>By region</li> </ul>		RP	VC	TA
	SPA 1			
	SPA 2			
	SPA 3			
	SPA 4			
	Breed 1			
	Breed 2			
Etc.				
<b>Country progress reports</b>				
<u>Questionnaire</u> comprising 69 single-choice and open questions <ul style="list-style-type: none"> <li>62 questions structured by SPA and respective core indicators</li> <li>7 questions on implementation and financing of GPA-AnGR</li> </ul>	<b>Questionnaire to support preparation of Country Progress Reports on the implementation of the <i>Global Plan of Action</i></b>  <b>Strategic Priority Area 1:</b> Characterization, Inventory and Monitoring of Trends and Associated Risks  <b>Core indicators:</b> <ol style="list-style-type: none"> <li>The state of inventory and characterization of animal genetic resources</li> <li>Etc.</li> </ol> <b>Questions:</b> Has a first inventory on the location, population status and trends and characteristics of animal genetic resources of your animal genetic resources been conducted covering all livestock species of economic importance? Etc.			
<b>Project reporting under Trust account</b>				
<u>Project information</u> <ul style="list-style-type: none"> <li>Project description and status</li> <li>Project funding</li> </ul> <u>Impact assessment</u> <u>Selection criteria</u> (according to FS, Section C, Part III) <ul style="list-style-type: none"> <li>Refined categories of FAO progress report</li> <li>Basis for FAO's reporting on GPA-AnGR</li> </ul>	<b>Trust Account reporting by Secretariat</b> Information provided by <u>project reporting</u> <u>Other information</u> , e.g. <ul style="list-style-type: none"> <li>Status of funds under TA</li> <li>Beneficiaries and donor information</li> <li>Assessment of overall operation of TA</li> <li>Potential improvement of Funding Strategy</li> </ul>			

FS Funding Strategy; TA Trust Account for the Funding Strategy; RP Regular Programme resources, VC Voluntary contributions.

19. Results-based management forms part of the Funding Strategy. While the project proposals will contain agreed monitoring products, the Commission may adopt monitoring procedures<sup>21</sup>.

#### IV. GUIDANCE SOUGHT

20. The Working Group may wish to recommend to the Commission:

- (i). to thank Governments having contributed to the FAO Trust Account;
- (ii). to urge Governments and other potential donors to provide or increase funding to the FAO Trust Account.

21. The Working Group may consider reviewing the draft first call for proposals, as given in *Annex 2* to this document, and may wish to recommend to the Commission.

<sup>21</sup> Funding Strategy, Annex 1, Section C, II. Project Cycle, art 8 Reporting and monitoring.

- (i). to request FAO to publish the first call for proposals for the use of funds received through the FAO Trust Account for the Funding Strategy established for the implementation of the Global Plan of Action, as reviewed by the Working Group, once the Trust Account has reached the threshold of USD 500,000;
  - (ii). relevant modalities for the first call for proposals, including:
    - a. specific components of the initially suggested priorities in Section A of the Funding Strategy;
    - b. the definition of a monetary cap for projects (e.g. USD 50.000 or 100.000);
    - c. the time frame for projects (one or two years)
22. The Working may further wish to recommend to the Commission:
- (i). to delegate its power to approve project proposals submitted under the first call for proposals to its Bureau with one additional representative for each region;
  - (ii). to request FAO to continue providing regular programme funds and technical advice to support country implementation of the *Global Plan of Action*;
  - (iii). to request FAO to continue to pursue partnerships and alliance with other international mechanisms and organizations to enhance mobilization of financial resources for implementation of the *Global Plan of Action*;
  - (iv). to invite donors to enhance financial support for implementation of the *Global Plan of Action* and its Funding Strategy;
  - (v). to request recipients of project funds under the Trust Account to make the breed related information gained in the project available to DAD-IS in order to enhance the resource indicator of the *Global Plan of Action* and its Funding Strategy;
  - (vi). to request countries and international organizations to report on receipt and donation of financial resources in Country Progress Reports and in International Organizations Progress Reports; and
  - (vii). to prepare draft monitoring procedures, as envisaged in Annex I, Section C, II. Project Cycle, article 8c, for consideration at its Fourteenth Session.

## ANNEXES

**ANNEX 1: Lessons learnt from the International Treaty on Plant Genetic Resources for Food and Agriculture**

As the *Funding Strategy for the implementation of the Global Plan of Action for Animal Genetic Resources* is modelled on the Benefit-sharing Fund of the Funding Strategy for the Implementation of the International Treaty on Plant Genetic Resources for Food and Agriculture<sup>22</sup>, the experience with the first round of the project cycle of the Treaty's Benefit-sharing Fund is important for the assessment of implementation costs related to the *Funding Strategy for the implementation of the Global Plan of Action for Animal Genetic Resources*. In 2006, the Governing Body of the Treaty in Resolution 1/2006 "request[ed] the Commission on Genetic Resources for Food and Agriculture to support the development of the Funding Strategy, in the context of its work in relation to the supporting components of the Treaty, including the *Global Plan of Action for the Conservation and Sustainable Utilization of Plant Genetic Resources for Food and Agriculture*"<sup>23</sup>. In 2008, the Treaty launched its first call for proposals when approximately USD 500.000 was available in the benefit sharing fund. It received more than 400 pre-proposals, out of which 64 pre-proposals were invited to prepare full proposals, 45 full proposals were received and screened, and 11 grants disbursed<sup>24</sup>. Table A1 shows the approximate administrative costs incurred for preparing and launching the Call for Proposals, screening of pre-proposals and full proposals until approval and the conclusion of Letters of Agreement for the approved projects. As the projects are ongoing and receive further administrative and technical support, a final cost assessment is not yet available but is bound to grow as the project cycle of the Fund progresses.

Table A1: Approximate costs for the pre-approval phase of the project cycle of the first Call for Proposals under the Benefit-sharing Fund of the Funding Strategy for the Implementation of the International Treaty on Plant Genetic Resources for Food and Agriculture, November 2008 - June 2009.

Item	Units	USD
Professional staff: one P3 full time, one P3 and P2 each part time	9 months	220,000
General service, G2	6 month	31,800
Translation of 14 proposals into English		6,720
Additional subsistence allowance for Governing Body members from developing countries	7 members, 2 days	4,700
<b>Total</b>		<b>263,220</b>

Figures are estimates based on averages.

For its second Call for Proposals in 2010, the Treaty has now installed a helpdesk for applicants who intend to submit pre-proposals during steps 1 and 2 of the project cycle. Following the screening of pre-proposals, the helpdesk will provide coaching for the development of full project proposals until the submission deadline for project proposals<sup>25</sup>.

<sup>22</sup> IT/GB-1/06/Report Appendix F.

<sup>23</sup> Resolution 1/2006, *The Funding Strategy*, Governing Body of the International Treaty on Plant Genetic Resources for Food and Agriculture, IT/GB-1/06/Report, Appendix F, para. 17

<sup>24</sup> IT/GB-3/09/8 Rev. 1, paragraph 26-42

<sup>25</sup> See: [http://www.planttreaty.org/funding\\_en.htm](http://www.planttreaty.org/funding_en.htm)

## **ANNEX 2: Proposed first call for proposals for the use of funds received through the FAO Trust Account for the Funding Strategy established for the implementation of the Global Plan of Action**

### **Appendix 1. Notification: Call for Proposals 2011: FAO Trust Account for the Funding Strategy established for the implementation of the Global Plan of Action for Animal Genetic Resources**

Dear Madam/Sir,

I am pleased to announce the decision of the Commission on Genetic Resources for Food and Agriculture, in its Thirteenth Regular Session, to open the Call for Proposals 2011. The Call relates to the FAO Trust Account in support of the *Global Plan of Action for Animal Genetic Resources* for the biennium 2011-2012 which will invest more than USD xxxxx in projects globally.

The thematic focus of the Call for Proposals 2011, within the three agreed priorities of the Funding Strategy, is helping to ensure sustainable food security by assisting livestock keepers and farmers in activities on the conservation and sustainable use of animal genetic resources for food and agriculture.

Any governmental or non-governmental organization, including research institutions and gene banks, livestock keepers and livestock keepers' organizations and regional and international organizations, based in developing countries or countries with economies in transition that are Commission Members may apply for grants until xx/xx/2011, 24:00 Rome time. The text of the Call for Proposals and the Submission Forms are attached to this notification.

Applications must be submitted through the national authorities of a country that is a Commission Member or Commission Members, i.e. through the National Focal Point or Permanent Representative to FAO.

At the opening of the Call, xx/xx/2011, I would like to express my deep gratitude to the Governments of Switzerland and xxxx who have each made significant financial investments in support of this Call. Details of further investors will be published on our website as and when received.

I remain at your disposal for any further information you may require.

Please accept Madam/Sir, the assurance of my highest consideration.

Irene Hoffmann

**Appendix 2. Priorities** to be taken from Funding Strategy, Section A **Priority setting**, initial suggested priorities

### **Appendix 3. Eligibility and selection criteria**

to be taken from Funding Strategy, Section B **Eligibility criteria** and Section C, III **Selection Criteria**

**Appendix 4. List of National Focal Points and Permanent Representatives to FAO of Commission members**

## Appendix 5. Time table of the project cycle for the first call for proposals

Project cycle	Deadline
Issuing of call for proposals	CGRFA#13
Submission of pre-proposals through National Focal Points, Permanent Representatives to FAO or official channels to the FAO Secretariat	CGRFA#13 + 2 months
Screening of pre-proposals	CGRFA#13 + 3 months
Response to applicants and invitations to submit full project proposals	CGRFA#13 + 3.5 months
Submission of project proposals through National Focal Points, Permanent Representatives to FAO or official channels to the FAO Secretariat	CGRFA#13 + 5.5 months
Appraisal of project proposals by panel of experts	CGRFA#13 + 6.5 months
Announcement of projects to be funded during the 14 <sup>th</sup> Session of the Commission or: meeting of the Bureau of the Commission*	CGRFA#13 + 7 months

\* Assumption: the Commission agrees to delegate the authority to approve projects for funding.

## Appendix 6. Pre-proposal submission form

Deadline for submissions: xx/xx/2011 24h00 (Rome time)

Pre-proposals must be submitted through the national authorities of a developing country or country with economies in transition that is a Commission Member, i.e. through the National Focal Points, the Permanent Representatives to FAO or other official channels included in Annex 3 of the Call for Proposals: 2011. Please therefore calculate additional time before (submission deadline), for your application to reach the FAO Secretariat through the national authorities.

Project proposals favourably appraised but not funded during the first project cycle will be brought to the attention of relevant international mechanisms, funds and bodies, both bilateral and multilateral, in accordance with paragraph 6.c of the Operational Procedures, and those proposals will not automatically form part of a later round of the project cycle.

For any further questions regarding this Call for Proposals, please contact GPA-FS@fao.org or by phone + 39 06 570xxxxx.

## I. CONTACT APPLICANT INFORMATION

Organization name:

Organization nature: (Please inform whether it is a governmental, non-governmental, livestock keeper or livestock keepers' organization, gene bank, research institution, regional or international organization)

Contact person:

Position:

City/ Country in which the Organization is based:

Address:

P.O. Box:

Telephone:

Fax:

E-mail:

Webpage:

## **II. PROJECT SUMMARY**

Project Title:

Estimated duration:<sup>26</sup> (months)

Resources requested from the Benefit-sharing Fund<sup>27</sup> (in US\$):

Countries or country targeted:

Objective of the Strategic Action Plan:

## **III. DESCRIPTION OF PRE-PROPOSAL<sup>28</sup>**

### **JUSTIFICATION**

Identify the food security and other challenges that need to be addressed in the targeted countries or country and make clear how the conservation and sustainable use of animal genetic resources for food and agriculture would contribute to meet these challenges. Use the Funding Strategy, SECTION C Operational procedures for the use of funds received through the FAO Trust Account for the Funding Strategy established for the Implementation of the Global Plan of Action, III Selection Criteria, as a basis of your arguments. Describe the scope of the (regional, sub-regional, eco-regional, agro-ecological zones, species/breed or other basis) and identify the animal genetic resources for food and agriculture on which the plan will focus. Indicate the main beneficiaries of the project.

### **INFORMATION NEEDS AND METHODOLOGIES TO BE USED**

Indicate the information that needs to be gathered or generated to be able to develop and monitor the outcomes of the project. Identify the methodologies to be used to identify the priorities, targets and milestones of the project.

### **EXPECTED OUTPUTS**

Identify the expected outputs of the project and their indicative individual cost.

### **INSTITUTIONS INVOLVED AND PARTNERSHIPS**

Describe parties to implement the project. Build a coherent and representative group of institutions (a consortium), and define roles and responsibilities.

### **INDICATIVE CO-FINANCING**

Identify actual and potential additional funds from other funding source.

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<sup>26</sup> Projects shall run for a maximum of xx years.

<sup>27</sup> Projects could be of any value but would not exceed USD xxxxx.

<sup>28</sup> The total number of words of this section shall be of 2000 words. The approximate number of words for each section would be: Justification (1000 words); Information needs and methodologies to be used (200 words); Expected outputs (300 words); Institutions involved and partnerships (300 words); Indicative co-financing (200 words).