



Food and Agriculture  
Organization of the  
United Nations



World Health  
Organization

Residue Monograph prepared by the meeting of the Joint FAO/WHO Expert Committee  
on Food Additives (JECFA), 82<sup>nd</sup> meeting 2016

## **Octenyl Succinic Acid Modified Gum Arabic**

This monograph was also published in: *Compendium of Food Additive Specifications. Joint FAO/WHO Expert Committee on Food Additives (JECFA), 82<sup>nd</sup> meeting 2016. FAO JECFA Monographs 19*

## OCTENYL SUCCINIC ACID MODIFIED GUM ARABIC

*Prepared at the 82<sup>nd</sup> JECFA (2016) and published in the FAO JECFA Monographs 19 (2016), superseding tentative specifications prepared at the 79<sup>th</sup> JECFA (2014) and published in the FAO JECFA Monographs 16 (2014). An ADI “not specified” was established at the 82<sup>nd</sup> JECFA (2016)*

<b>SYNONYMS</b>	Gum arabic hydrogen octenylbutandioate; Gum arabic hydrogen octenylsuccinate; OSA modified gum arabic; OSA modified gum acacia; INS No. 423
<b>DEFINITION</b>	Octenyl succinic acid modified gum arabic is produced by esterifying gum arabic ( <i>Acacia seyal</i> ), or gum arabic ( <i>Acacia senegal</i> ) in aqueous solution with octenyl succinic acid anhydride. The modified gum, containing not more than 3% octenyl succinate on a weight basis is subsequently spray dried.
C.A.S. number	455885-22-0
<b>DESCRIPTION</b>	Off-white to light tan, free flowing powder
<b>FUNCTIONAL USES</b>	Emulsifier
<b>CHARACTERISTICS</b>	
<b>IDENTIFICATION</b>	
<u>Solubility</u> (Vol. 4)	Freely soluble in water; insoluble in ethanol
<sup>1</sup> H-NMR spectrum	The <sup>1</sup> H-NMR spectrum of the sample obtained using the procedure described in Tests under “Esterified octenyl succinic acid” corresponds to the reference <sup>1</sup> H-NMR spectrum in the Appendix.
<u>pH</u> (Vol. 4)	3.5 to 6.5 (5% solution)
<u>Viscosity</u>	Not more than 30 cP (5% solution, 25°) Add 95 ml of water to a beaker. Place a magnetic stir bar into the water and while stirring add 5 g of the sample. Stir on medium speed for 2 h. Measure viscosity on Brookfield LV viscometer, or equivalent, using spindle number 3 at 30 rpm (factor = 40).
<b>PURITY</b>	
<u>Esterified octenyl succinic acid</u>	Not more than 3% See description under TESTS
<u>Loss on drying</u> (Vol.4)	Not more than 15% (105°, 5h)

<u>Total ash</u> (Vol.4)	Not more than 10% (530°)
<u>Acid-insoluble ash</u> (Vol.4)	Not more than 0.5%
<u>Water-insoluble matter</u> (Vol. 4)	Not more than 1.0%
<u>Starch or dextrin</u>	Boil a 1 in 50 aqueous solution of the sample, add about 0.1 ml iodine TS. No bluish or reddish colour should be produced.
<u>Tannin-bearing gums</u>	To 10 ml of a 1 in 50 aqueous solution of the sample add about 0.1 ml ferric chloride TS. No blackish coloration or blackish precipitate should be formed.
<u>Residual octenyl succinic acid</u>	Not more than 0.3% See description under TESTS
<u>Microbiological criteria</u> (Vol. 4)	<i>Salmonella</i> species: absent in 25 g <i>Escherichia coli</i> : absent in 1 g
<u>Lead</u> (Vol. 4)	Not more than 2 mg/kg Determine using a method appropriate to the specified level. The selection of sample size and method of sample preparation may be based on the principles of the method described in Volume 4 (under “General Methods, Metallic Impurities”).

## TESTS

### PURITY TESTS

<u>Esterified octenyl succinic acid</u>	Principle: Determine using <sup>1</sup> H-NMR method to measure remaining octenyl succinic groups present in product following extraction of residual octenyl succinic acid (OSA).
	Procedure
	<u>Extraction of residual OSA</u>
	Accurately weigh 500 mg (to nearest 0.1 mg) of the sample in a 25 ml Erlenmeyer flask, add 15 ml of methanol, stopper the flask and shake it on a shaker overnight. Filter the extract using a filter paper and wash the solid residue, three times with 7 ml portions of methanol. Allow the methanol to evaporate from the filter cake in the hood and dry the filter cake in a forced air oven at 40° overnight. Grind the dried cake using mortar and pestle.
	<sup>1</sup> H NMR Solvent system A
	Dimethyl sulfoxide-d <sub>6</sub> (DMSO-d <sub>6</sub> containing 1.88% w/w deuterated trifluoroacetic acid (TFA-d <sub>1</sub> ) and 0.42% w/w recrystallized 1,4-bis(trichloromethyl)benzene (BTCMB, recrystallized from hexane). In the solvent system, BTCMB is an internal standard.
	<sup>1</sup> H NMR Solvent system B

Dimethyl sulfoxide-d<sub>6</sub> (DMSO-d<sub>6</sub> containing 1.88% w/w deuterated trifluoroacetic acid (TFA-d<sub>1</sub>) and 1.25 mg/ml OSA standard.

#### System validation

Dissolve 15 mg dried purified non-modified gum Arabic in total 750 µl NMR solvent system mixture A & B prepared according to the following Table. Heat the solution to fully solubilize the purified gum Arabic. Transfer the solution into a 5 mm NMR tube.

Volume A (µl)	Volume B (µl)	BTCMB (mg)	OSA (mg)	OSA % (theoretical)
750	0	3.75	0	0
620	130	3.1	0.16	1.08
500	250	2.50	0.31	2.08
400	350	1.75	0.44	2.92

#### Sample preparation

Dissolve 15 mg dried purified OSA-modified gum Arabic in 750 µl <sup>1</sup>H NMR solvent system A. Heat the solution to fully solubilize the purified OSA-modified gum Arabic. Transfer the solution into a 5 mm NMR tube.

Determine percent of esterified OSA in OSA-modified gum Arabic by <sup>1</sup>H-NMR using a 400 MHz NMR spectrometer.

Experimental conditions:

Temperature: 85°.

17.8 µs 90° pulse, 32 second relaxation delay, 1.37 second acquisition time, 8192 data points, 5,973.8 Hz sweep width, 0.5 Hz exponential apodization line broadening, 16 scans.

#### Calculation

Use the OSA methyl proton peak at 0.8-0.89 ppm and BTCMB peak at 8.1 ppm to calculate the percent of esterified OSA in the sample.

$$\% \text{ OSA} = \frac{I_{\text{OSA-Me}}}{I_{\text{BTCMB}}} \times \frac{4}{3} \times \frac{210.27}{312.84} \times \frac{W_{\text{BTCMB}}}{W_{\text{MGA}}} \times 100$$

where:

$I_{\text{OSA-Me}}$  is integrated peak area of the OSA methyl proton peak;

$I_{\text{BTCMB}}$  is integrated peak area of the BTCMB internal standard proton peak;

$W_{\text{BTCMB}}$  is the weight of BTCMB internal standard in mg (4.2 mg/ml x 0.750 ml) and  $W_{\text{MGA}}$  is the weight of modified gum Arabic present in solution in the NMR experiment tube in mg.

#### NOTE:

1: The signal intensity for OSA-Me comes from 3 protons/molecule, therefore,  $I_{\text{OSA-Me}}/3$  corresponds to the number of molecules of OSA.

The signal intensity for BTCMB comes from 4 protons/molecule and so  $I_{\text{BTCMB}}/4$  corresponds to the number of molecules of BTCMB. 210.27 and 312.84 are the molecular weights of OSA and BTCMB, respectively.

2. Plot the % OSA theoretically calculated in the Table above vs the % OSA calculated by the NMR measurement, using the weight of the unmodified gum arabic in the place of  $W_{\text{MGA}}$ . (A linear correlation should be obtained with correlation coefficient  $>0.99$ , slope close to 1 and low intercept).
3. Use the correlation slope and intercept to correct the calculated amount in the sample.

### Residual octenylsuccinic acid

Determine by HPLC on the 2-bromoacetophenone-derivatised methanolic extract of the sample.

#### Extraction and Preparation of Sample Solution

Accurately weigh 500 mg (to nearest 0.1 mg) of the sample in a 25 ml Erlenmeyer flask, add 15 ml of methanol, stopper the flask and shake it on a shaker overnight. Filter the extract using a filter paper, wash the residue, three times with 7 ml portions of methanol and combine the filtrate (about 80% of the OSA residues is extracted by this procedure). Add 1 ml of 0.16 N KOH in methanol to the combined filtrate. Dry the extract using a flash evaporator at 30° and dissolve the residue in 2 ml of methanol. Pipette 0.5 ml of this solution into a reaction vial, add 0.5 ml of derivatisation reagent [2.8 g of 2-p-dibromoacetophenone and 0.28 g of 1,4,7,10,13,16-hexaoxacyclooctadecane (18-Crown-6) in 50 ml  $\text{CH}_3\text{CN}$ ]. Add 2 ml  $\text{CH}_3\text{CN}$  to the reaction vial, cap the vial and heat at 80° for 30 min. Allow the vial to reach room temperature and analyse the reaction product by HPLC within 24 h.

#### HPLC Conditions:

Column:  $\mu$ -Bondapack C18 or equivalent

Mobile Phase: Methanol and Water with gradient elution: 70% to 80% of methanol in water in 5 min

Flow rate: 1.5 ml/min

Detector: UV at 254 nm

Injection volume: 5  $\mu\text{l}$

#### Preparation of Standard Curve

Prepare a 105.14 mg/ml solution of octenylsuccinic acid anhydride (available from Milliken Chemicals) in methanol (Solution A). Using a syringe draw 0.25 ml of Solution A, transfer into a 25-ml volumetric flask and dilute to mark with methanol (Solution B).

Prepare three working standard (Solution C1, C2 and C3) by transferring 0.5, 1 and 2 ml each of Solution B into three 50-ml round bottom flasks, add 1 ml of 0.16 N KOH in methanol to each flask, dry the solution using a flash evaporator at 30° and dissolve the residue in 2.0 ml of methanol. To 0.5 ml each of these solutions in reaction vials, add 0.5 ml each of derivatisation reagent [2.8 g of 2-p-

dibromoacetophenone and 0.28 g of 1,4,7,10,13,16-hexaoxacyclooctadecane (18-Crown-6) in 50 ml of CH<sub>3</sub>CN]. Add 2 ml of CH<sub>3</sub>CN to each vial, cap the vials and heat for 30 min at 80°. Allow the vials to reach room temperature and analyze by HPLC immediately.

The amount of octenyl succinic acid in each 5- $\mu$ l injection is as follows:

Solution C1: 0.2375  $\mu$ g

Solution C2: 0.4750  $\mu$ g

Solution C3: 0.9500  $\mu$ g

Construct the standard curve using peak area against the amount of standard in the injected volume.

Inject 5- $\mu$ l of prepared sample solution and read the amount of octenyl succinic acid in the injection from the standard curve.

### Calculation

$$\% \text{ Residual octenyl succinic acid} = \frac{300 \times V}{W}$$

where

V is the amount of OSA ( $\mu$ g) in the injected volume; and

W is the weight of the sample (mg).

**NOTE:** The formula is corrected to 100% recovery by dividing with 0.80, so that  $240/0.80 = 300$ .

### Appendix Representative <sup>1</sup>H NMR spectrum of OSA modified gum Arabic

