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粮食及
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Organización
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Unidas
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Agricultura
y la
Alimentación

Item 5.2 of the Provisional Agenda

COMMISSION ON GENETIC RESOURCES FOR FOOD AND AGRICULTURE

Thirteenth Regular Session

Rome, 18 – 22 July 2011

FUNDING STRATEGY FOR THE IMPLEMENTATION OF THE GLOBAL PLAN OF ACTION FOR ANIMAL GENETIC RESOURCES

TABLE OF CONTENTS

	<i>Para.</i>
I. Introduction	1 – 5
II. Call for proposals	6 - 8
III. Administration of the Trust Account	9 -10
IV. Guidance sought	11

I. INTRODUCTION

1. The Commission on Genetic Resources for Food and Agriculture (Commission), at its Twelfth Regular Session, adopted the *Funding Strategy for the implementation of the Global Plan of Action for Animal Genetic Resources*¹ (Funding Strategy) and requested FAO to implement it.² The FAO Conference, at its Thirty-sixth Session, urged FAO to support the implementation of the Funding Strategy as part of a consolidated and coherent programme.³
2. The objective of the Funding Strategy is to enhance the availability, transparency, efficiency and effectiveness of the provision of substantial and additional financial resources, and to strengthen international cooperation to support and complement the efforts of developing countries and countries with economies in transition in the implementation of the *Global Plan of Action for Animal Genetic Resources (Global Plan of Action)*.
3. The Funding Strategy covers all known and potential sources of funding, including financial resources for national activities, bilateral, regional and multilateral support, regular programme resources of FAO as well as voluntary contributions to FAO. In FAO's Medium Term Plan 2010-13 (Reviewed) and Programme of Work and Budget 2012-13⁴, regular programme resources as well as voluntary contributions related to animal genetic resources are reported under Organizational Results B03, F03, F05, and in Regional Results supporting the implementation of the *Global Plan of Action*. For the 2010-2011 biennium, FAO's planned regular programme funding related to the *Global Plan of Action* adds up to about USD 4.1 million.
4. Specific procedures for the use of voluntary contributions received through the *FAO Trust Account for the Funding Strategy* (FAO Trust Account) are set out in *Procedures for the use of resources under the FAO Trust Account* (Procedures), contained in Annex I to the Funding Strategy. The Procedures, inter alia, list criteria that projects will have to meet in order to be eligible for funding through the FAO Trust Account and detail steps to be taken by the Commission, its Intergovernmental Technical Working Group on Animal Genetic Resources for Food and Agriculture (Working Group) and the FAO Secretariat in the management and approval of project proposals. According to the Procedures, calls for proposals shall be prepared by the Secretariat under the guidance of the Working Group. The responsibility for initiating calls for proposals is with the Commission.
5. This document invites the Commission to provide guidance on the proposed first call for proposals under the Funding Strategy and on the further development of the Funding Strategy.

II. CALL FOR PROPOSALS

6. The Working Group, at its Sixth Session, recommended that the Commission request FAO to publish a call for proposals for the use of funds received through the FAO Trust Account, once the Trust Account has received funds of around USD 500 000.⁵
7. The FAO Trust Account received so far donations from the Governments of Switzerland and Norway. Discussions with other donors are ongoing. The Trust Account is likely to reach the threshold of USD 500 000 before the Thirteenth Regular Session of the Commission.

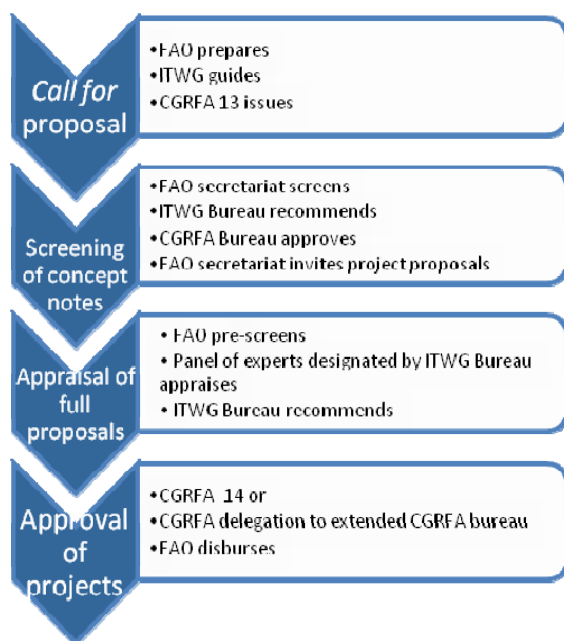
¹ CGRFA-12/09/Report, Appendix C.

² CGRFA-12/09/Report, paragraph 43.

³ C 2009/REP, paragraph 68.

⁴ C 2011/3.

⁵ CGRFA/WG-AnGR-6/10/Report, paragraph 27.



8. A draft call for proposals was presented to the Working Group, at its Sixth Session. The Working Group suggested priorities, a monetary cap and a maximum duration for projects, and it proposed the delegation of project approval rights to the Commission's Bureau, in line with the Funding Strategy.

- The Working Group recommended that there should be a maximum of one concept note from each developing country and country with economy in transition in this first call for proposals.
- The Working Group recommended that the Commission establish a maximum initial allocation per project of up to USD 50 000 in the first round of projects, as well as a project timeframe of up to two years.
- The Working Group recommended that priorities be considered as suggested in Section A, paragraph 5, subparagraphs 1-3, of the Funding Strategy, in the selection of projects.
- The Working Group also stressed that bilateral or multi-lateral project proposals would be highly beneficial and should be encouraged.
- The Working Group also recommended that the Commission request Funding Strategy beneficiaries to make data and information generated in the context of their projects publicly available and to report relevant breed-related information to DAD-IS.⁶

III. ADMINISTRATION OF THE TRUST ACCOUNT

Overhead costs

9. The experience with the project cycle under the Benefit-sharing Fund of the Funding Strategy for the Implementation of the International Treaty on Plant Genetic Resources for Food and Agriculture indicates that the effort and related costs for preparing and launching a call for proposals, screening of pre-proposals and full proposals until approval, and the conclusion of Letters of Agreement for the approved projects can be significant, particularly if a large number of pre-proposals is received compared with funds available.⁷ The Working Group, in its Sixth Session, recommended to encourage Regional Focal Points for Animal Genetic Resources or

⁶ CGRFA/WG-AnGR-6/10/REPORT.

⁷ CGRFA/WG-AnGR-6/10/4 Funding Strategy for the implementation of the *Global Plan of Action for Animal Genetic Resources*, including administrative arrangements for the FAO Trust Account, Annex 1.

regional networks to facilitate pre-screening of concept notes, thereby reducing overhead costs related to project screening and administration.⁸ The coverage of FAO's costs in managing the transparent and competitive process of the call for proposals under the Trust Account is not yet agreed and is the object of ongoing discussions with partners.

Project progress reporting, monitoring and review

10. For the future development of the Funding Strategy it is important to monitor closely and learn from the response to the first call for proposals. FAO will therefore apply existing monitoring and evaluation mechanisms which will contribute significantly to enhancing the Funding Strategy's efficiency and strengthen its reputation and credibility.

IV. GUIDANCE SOUGHT

11. The Commission may wish to:
- i. Thank Governments that have contributed to the FAO Trust Account and urge Governments and other potential donors to provide or increase funding to the FAO Trust Account;
 - ii. Request FAO to publish the call for proposals for the use of funds received through the FAO Trust Account once the Trust Account has received contributions of around USD 500 000;
 - iii. Delegate its approval rights of project proposals, submitted under the first call for proposals, to its Bureau in line with the Procedures of the Funding Strategy;
 - iv. Limit the number of proposals submitted under the first call for proposals to no more than one per country;
 - v. Limit projects eligible for funding under the first call for proposals to those relating to priorities in Section A, paragraph 5, subparagraphs 1-3, of the Funding Strategy;
 - vi. Limit the initial maximum allocation per project to USD 50 000 in the first round of projects and the project length to not more than two years;
 - vii. Encourage Regional Focal Points for Animal Genetic Resources where they exist, or regional networks, to facilitate pre-screening of concept notes, thereby reducing project screening, administrative and overhead costs;
 - viii. Encourage the submission of bilateral or multi-lateral project proposals;
 - ix. Request FAO to require that Funding Strategy beneficiaries make data and information generated in the context of their projects publicly available and report relevant breed-related information to DAD-IS;
 - x. Request FAO to administer, implement, monitor and evaluate projects funded under the Trust Account, in line with the rules and procedures laid out in the Funding Strategy and FAO procedures;
 - xi. Invite countries and international organizations to report on financial resources used for the implementation of the *Global Plan of Action*.

⁸ CGRFA/WG-AnGR-6/10/REPORT.