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et
l'agriculture

Organización
de las
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para la
Agricultura
y la
Alimentación

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Item 8 of the Draft Provisional Agenda

INTERNATIONAL TREATY ON PLANT GENETIC RESOURCES FOR FOOD AND AGRICULTURE

SECOND SESSION OF THE GOVERNING BODY

Rome, Italy, 29 October-2 November 2007

IMPLEMENTATION OF THE FUNDING STRATEGY

TABLE OF CONTENTS

	<i>Paras.</i>
I. Introduction	1-3
II. Key Issues for the Funding Strategy	4-8
III. Past Work under the Treaty on the Funding Strategy	9-13
IV. Conditions for Successful Fundraising	14-15
V. Possible Actions and Practical Activities	16-44
VI. Conclusions and guidance sought from the Governing Body	45

Annex: List of Possible Actions and Practical Activities

I. INTRODUCTION

1. By Resolution 1/2006, the Governing Body adopted the Funding Strategy for the Implementation of the International Treaty, as contained in *Appendix F* of the Report of its First Session.¹ In adopting its Funding Strategy, the Governing Body, *inter alia*, recognized “that an effective Funding Strategy is critical to the implementation of the Treaty.” The Governing Body also noted that “the Funding Strategy should enhance the availability, transparency, efficiency and effectiveness of the provision of financial resources to implement activities under the Treaty”, in accordance with the Treaty.²

2. The Treaty contains provisions both on how these resources will be mobilized and what sort of activities the Funding Strategy will address. The Funding Strategy includes both certain resources directly under the control of the Governing Body (held, “as needed in an appropriate mechanism, such as a Trust Account”),³ and funds not directly under its control, the allocation of which the Treaty will however influence. The Funding Strategy also includes both national actions with national resources in all Contracting Parties,⁴ and funds mobilized at the international level. In this connection, the Treaty provides that international cooperation shall, in particular, be directed *inter alia*, to implementing the funding strategy under Article 18.⁵

3. Based on the provisions of the Treaty, the decisions of the Governing Body, and the Funding Strategy as adopted at the First Session of the Governing Body, this document identifies possible activities that could be undertaken by the Governing Body, Contracting Parties, the Secretariat and other stakeholders in light of the need for concerted action in finding ways and means to mobilize additional resources, and seeks guidance from the Governing Body in this respect.

II. KEY ISSUES FOR THE FUNDING STRATEGY

4. The Funding Strategy is key to the success of the entire Treaty, as Article 18.4 states:

(b) *The extent to which Contracting Parties that are developing countries and Contracting Parties with economies in transition will effectively implement their commitments under this Treaty will depend on the effective allocation, particularly by the developed country Parties, of the resources referred to in this Article. Contracting Parties that are developing countries and Contracting Parties with economies in transition will accord due priority in their own plans and programmes to building capacity in plant genetic resources for food and agriculture.*

5. In recognizing that the implementation of the Treaty is hinged on the availability of funds, the Governing Body, in Resolution 1/2006, noted “that the Funding Strategy should seek to attract financial resources from all possible sources” and recognized “the importance of cooperation with relevant international mechanisms, funds and bodies to the successful implementation of the Funding Strategy”.

6. The Governing Body had noted,

“that voluntary contributions may also be provided by Contracting Parties, the private sector, taking into account the provisions of Article 13 of the Treaty, non-governmental

¹ Para 11.

² Article 18.2 of the Treaty

³ Article 19.3f. The Trust Account has been established.

⁴ Article 18.4d.

⁵ Article 7.2d.

organisations and other sources. The Governing Body shall consider modalities of a strategy to promote such contributions”.

7. The successful development of the Funding Strategy requires considerable investment in the strategy development itself as well as positive actions by the Governing Body and the full commitment of the Contracting Parties respectively.

8. In order to reinforce the successful outcome of its First Session and build on the existing momentum, the Governing Body may wish to consider some immediate steps and practical activities to ensure the viability of the Funding Strategy in accordance with its recognition “that the Governing Body and Contracting Parties will need to take a number of other actions to support the implementation of the Funding Strategy”. This could include consultative and exploratory activities as well as concrete steps that promote complementarity, synergy and the integration of the Funding Strategy with relevant funding sources and other international institutions to enhance the Treaty’s effective implementation.

III. PAST WORK UNDER THE TREATY ON THE FUNDING STRATEGY

9. Subsequent to its adoption of the Funding Strategy, the Governing Body, through Resolution 1/2006, decided to establish an *Ad Hoc* Advisory Committee on the Funding Strategy to, in particular, develop effective priorities, eligibility criteria and operational procedures for the allocation of funds under the direct control of the Governing Body.

10. The Ad Hoc Advisory Committee, in its deliberations, only considered “priorities, eligibility criteria and operational procedures for the allocation of funds under the direct control of the Governing Body, for the consideration of the Governing Body”. These will constitute *Annexes 1, 2, and 3* of the Funding Strategy. The draft *Annexes* are contained in the document, IT/GB-2/07/7, *Report of the Chair of the Ad Hoc Advisory Committee on the Funding Strategy: Annexes 1, 2 and 3 of the Funding Strategy*, for the consideration of the Governing Body.

11. The Secretariat has already undertaken the following actions in accordance with the relevant requests of the Governing Body regarding mobilizing resources under the direct control of the Governing Body:

- A Circular Letter (Ref G/X/AGD-8) of 3 November 2006 from the Interim Secretary of the Treaty has been sent to Contracting Parties, recalling Resolution 1/2006 and requesting voluntary contributions to be made to the Benefit-sharing Fund, as soon as possible;
- Letters of 3 November 2006 were addressed to Non-Contracting Parties, 125 organizations, the Global Environment Facility, the World Bank and Regional Development Banks, International Non-Governmental Organizations and other International Representatives requesting voluntary contributions;
- Individual letters were also sent by the Interim Secretary to Foundations and Charities requesting voluntary contributions.
- The Secretary contacted the Common Fund for Commodities as proposed in the submission of the Government of Germany on the Funding Strategy.

12. In its report to the Second Session of the Governing Body, the *Ad Hoc* Advisory Committee on the Funding Strategy stresses that:

5. The availability of funds stemming from obligatory benefit-sharing according to the provisions of Article 13 of the Treaty may be very limited for a number of years. This renders the success of the Funding Strategy highly dependent on the ability to generate voluntary contributions. In order to effectively mobilize funds, any strategies adopted by

*the Governing Body to encourage voluntary contributions to the Benefit-sharing Fund should aim at uniqueness, rigour and distinctness.*⁶

13. Priorities, eligibility criteria and operational procedures are intertwined and closely dependent on the development of ways and means to mobilize resources, both being equally essential to the development of a successful Funding Strategy for the Treaty. In Resolution 1/2006, the Governing Body is very clear in its request to Contracting Parties and to the Secretariat to develop the foundations of a solid funding framework which will ensure that the long-term objectives of the Treaty are met.

IV. CONDITIONS FOR SUCCESSFUL FUNDRAISING

14. Attracting voluntary contributions at the international level is an especially competitive area of activity with many different recipients pursuing similar sources of voluntary funds. To be successful, it requires a clear purpose for the funds, or in terms of the Funding Strategy, specific and clear priorities. The draft priorities, eligibility criteria and operational procedures provide a sound basis in this regard and are before the Governing Body for adoption.

15. To be successful, the Funding Strategy will have to take into account, amongst others, the following points noted in documentation prepared for the First Session of the Governing Body, and for the Open-ended Working Group on the Rules of Procedure and Financial Rules of the Governing Body, Compliance, and the Funding Strategy.⁷

- Strong Political support, including from Contracting Parties, donors and relevant international organizations and other stakeholders, as well as the private sector;
- broad, stable, sustainable and predictable financial basis either through voluntary assessed contributions by Contracting Parties and purely voluntary contributions from both Contracting Parties and others;
- clearly defined, focused and realistic objectives, and its “distinctiveness” as stipulated in the Treaty and the Funding Strategy itself;
- operational transparency, competence, efficiency and rigour in implementation and management procedures, as recommended by the *Ad Hoc* Advisory Committee.

V. POSSIBLE ACTIONS AND PRACTICAL ACTIVITIES

16. The Treaty states, under Article 18, that the responsibility of implementing the Funding Strategy and mobilizing voluntary contributions and other resources for the Funding Strategy rests with the Contracting Parties.

17. Based on the provisions of the Treaty, past decisions of the Governing Body and advice from the *Ad Hoc* Advisory Committee, a range of possible actions and activities could be undertaken by the Governing Body, Contracting Parties, the Secretariat and other interested parties in order to implement the Funding Strategy of the Treaty.

⁶ Document IT/GB-2/07/7, *Report of the Chair of the Ad Hoc Advisory Committee on the Funding Strategy: Annexes 1, 2 and 3 of the Funding Strategy*, para. 5.

⁷ For example, see document IT/GB-1/06/Inf.11, *Report on priority setting, eligibility criteria and operational procedures relevant for the implementation of the Funding Strategy of the International Treaty on Plant Genetic Resources for Food and Agriculture*.

Activity 1: Supporting Contracting Parties in Taking Measures to Ensure Effective Allocation of Resources for the Funding Strategy⁸

18. The Treaty outlines its expectations from Contracting Parties in regard to the Funding Strategy under Article 18.4, and the Governing Body reinforced these expectations at its First Session. Under Article 18.4a of the Treaty, Contracting Parties have undertaken to:

“take the necessary and appropriate measures within the Governing Bodies of relevant international mechanisms, funds and bodies to ensure due priority and attention to the effective allocation of predictable and agreed resources for the implementation of plans and programmes under this Treaty”.

19. This obligation could, for example, be implemented if governments state in international bodies, such as the Global Environmental Facility, that the relevant rules, criteria and procedures of those bodies should be amended to ensure allocation of predictable resources to the implementation of the Treaty’s Funding Strategy.

20. In light of Article 18.4a, the Governing Body has invited “the Global Environment Facility, the World Bank and the Regional Development Banks to take steps to ensure that their activities will include support for the conservation and sustainable use of agricultural biodiversity and thereby contribute towards the objectives of the Treaty, and to report to the Governing Body on these activities” and “to attribute due priority to activities within their mandates relevant to the implementation of the Treaty”.⁹

21. Activities under this section are proposed to facilitate the task of Contracting Parties in achieving these objectives and implementing their obligations. They would support Contracting Parties in ensuring that other international processes provide assistance that meets the criteria set by the Governing Body and take the Treaty’s criteria into account in deciding on assistance. However, the Secretariat could facilitate preparatory work for adopted measures to encourage voluntary contributions.

22. A possible approach to commencing the relevant activities is by identifying opportunities and maintaining a Calendar of Events for the promotion of the Funding Strategy by Contracting Parties. This would also involve the preparation of customized, professional fundraising and promotional materials for Contracting Parties on the Treaty’s Funding Strategy, prepared and provided to Contracting Parties for their efforts at mobilizing resources for the Funding Strategy.¹⁰

23. There will also be the need for the preparation, support and facilitation of high-level outreach and measures by Contracting Parties to targeted international mechanisms, funds and bodies to ensure allocation of resources to the Funding Strategy, including liaison work with the Secretariats of such other institutions.

24. In order to support Contracting Parties in taking measures to ensure effective allocation of resources to the Funding Strategy, the Governing Body may wish to consider the following sequence of steps, actions and activities:

⁸ Article 18.4

⁹ Resolution 1/2006.

¹⁰ Paras 7 and 20, IT/GB-2/07/07, ‘Report of the Chair of the Ad Hoc Advisory Committee on the Funding Strategy’

Activity 1: Supporting Contracting Parties in Taking Measures to Ensure Effective Allocation of Resources for the Funding Strategy

1.1: Defining a strategy and appropriate measures to be taken by Contracting Parties to implement Article 18.4(a); as part of the strategy, setting agreed and coordinated targets for the measures to be taken by Contracting Parties;

1.2: Maintaining a Calendar of Events and list of opportunities for the promotion of the Funding Strategy by Contracting Parties, for instance, by assigning Contracting Parties to relevant institutions, with defined roles and responsibilities, including reporting, feedback and analysis. If the Governing Body decides to extend the work of the Ad Hoc Advisory Committee on the Funding Strategy, this could be coordinated by the Committee;

1.3: Profiling, researching and targeting specific institutions; preparing customized fundraising material to be provided to agreed Contracting Parties responsible for the targeted institutions;

1.4: Establishing high-level contacts between Contracting Parties and 10 international mechanisms, funds and bodies to ensure allocation of resources to the Funding Strategy.

Activity 2: Developing Modalities of a Strategy to Promote Voluntary Contributions to the Funding Strategy

25. The Funding Strategy, in paragraph 2.1, states that its aim is the “development of ways and means by which adequate resources are available for the implementation of the Treaty, in accordance with Article 18 of the Treaty”.

26. Article 13.6 of the Treaty states that: “The Contracting Parties shall consider modalities of a strategy of voluntary benefit-sharing contributions whereby Food Processing Industries that benefit from plant genetic resources for food and agriculture shall contribute to the Multilateral System”.

27. In Resolution 1/2006, the Governing Body “[r]equested the Secretariat of the Treaty to positively pursue, with the secretariats of relevant international mechanisms, funds and bodies, means by which they might contribute to the implementation of the Funding Strategy of the Treaty”. If the Strategy and Options paper, proposed below, identifies further possible opportunities to mobilize voluntary contributions, it would provide a framework for the Secretariat to pursue such possible contributions. In particular, the Secretariat of the Treaty would explore “the possibility of establishing memoranda of understanding [between] the Governing Body [and international mechanisms, funds and bodies] in this regard”.¹¹

28. It is clear that fund-raising will require the full commitment of Contracting Parties, and a proactive supportive role of the Secretariat. The Governing Body provides a mandate for such work, in Resolution 1/2006, noting that voluntary contributions may be provided by Contracting Parties, the private sector, non-governmental organisations and other sources.¹²

29. In light of these provisions, the *Ad Hoc* Advisory Committee on the Funding Strategy also recommends in its report, *inter alia*, that “the Governing Body might wish to establish a

¹¹ Resolution 1/2006 (xiii)

¹² Resolution 1/2006 (x).

process by which Contracting Parties meet with the private sector to encourage voluntary contributions".¹³

30. The Committee further recommended that the Governing Body should seek "professional help ... to develop an effective strategy to attract voluntary funds."¹⁴ Highly specialized expertise and professional services are the only way to develop effective fundraising strategies for voluntary contributions in a highly competitive fundraising environment. Therefore, the following activities are suggested for consideration by the Governing Body in this regard.

31. In order to develop modalities of a strategy to promote voluntary contributions to the Funding Strategy, the Governing Body may wish to consider the following sequence of steps, actions and activities:

Activity 2: Developing Modalities of a Strategy to Promote Voluntary Contributions to the Funding Strategy

2.1: *Convening of a Brainstorming Workshop(s) to bring together selected high-level experts and decision-makers on fundraising and voluntary contributions, in order to identify strengths, weaknesses and opportunities for the Treaty and to identify elements of a strategy for the Governing Body and Contracting Parties to promote voluntary contributions;*

2.2: *Following from 2.1 above, creating elements of a strategy to implement Article 18 and a network of key decision-makers and experts in international funding institutions to function as advisors and ambassadors for the development of the Governing Body's strategies for mobilization of voluntary contributions. This might be formalized by the Governing Body through a high-level committee of eminent persons to promote voluntary contributions on its behalf as well as advise it;*

2.3: *Following from 2.1 above, developing a Strategy and Options Paper for the Funding Strategy of the Treaty on the strategies, opportunities and possible actions for promoting voluntary contributions, including options for an Action Plan to promote voluntary contributions. The Strategy and Options Paper could be reviewed by the Ad Hoc Advisory Committee, if the Governing Body decides to reconvene it;*

2.4: *Implementing the Strategy and Options Paper through outreach to, and positive pursuing of, the identified potential sources of financial resources, and based on the outcomes of the Workshop under B.1 above as well as additional contacts and fact-finding missions with Contracting Parties, the private sector, non-governmental organisations, such as foundations, and other sources.¹⁵ For this purpose, the Governing Body may consider the possibility of authorizing the engagement of a professional fundraiser who will further coordinate the required activities;*

2.5: *Holding consultations with the Food Processing Industries to develop a strategy by which the Food Processing Industries could make voluntary benefit-sharing contributions, including possible promotional and positive public relations mechanisms such as seal- or labelling-mechanisms which promote food products that have been subject of voluntary benefit-sharing contributions under the Treaty.*

¹³ Document IT/GB-2/07/7, *Report of the Chair of the Ad Hoc Advisory Committee on the Funding Strategy: Annexes 1, 2 and 3 of the Funding Strategy*, para.6.

¹⁴ Paras 5, 6, 7 and 20, IT/GB-2/07/07, '*Report of the Chair of the Ad Hoc Advisory Committee on the Funding Strategy*'

¹⁵ Resolution 1/2006, paragraph 2 and 7.

32. The Secretariat will continue to explore the possible establishment of cooperation agreements with the Secretariats of the relevant bodies in accordance with the Resolution of the Governing Body.

Activity 3: Operating the Funding Strategy and Disbursement of Funds, if Available

33. If and when any funds are received under the Funding Strategy which are under the direct control of the Governing Body, it will be necessary to disburse them in an efficient, transparent and accessible manner which achieves the objectives of the Funding Strategy effectively. The *Ad Hoc* Advisory Committee on the Funding Strategy has already successfully elaborated, for the consideration of the Governing Body, Priorities, Eligibility Criteria and Operational Procedures for the provision of funding support to agricultural priority projects under the Funding Strategy. A separate unit in the Secretariat will have to be responsible for processing project proposals for funding and for servicing these projects on an ongoing basis.

34. In order to facilitate the operation of the Funding Strategy and disbursement of funds, if available, the Governing Body may wish to consider the following sequence of steps, actions and activities:

Activity 3: Operating the Funding Strategy and Disbursement of Funds, if Available

3.1: Processing of project proposals under the Funding Strategy:

- opening a call for proposals in the official languages, as decided by the Governing Body;
- receiving, collecting and compiling Pre-proposals;
- preparatory work for screening and response to the Pre-proposals by the Bureau according to the adopted Eligibility Criteria and Priorities;
- receiving, processing and making public of project proposals submitted from approved pre-proposals;
- Appraisal, ranking and making public of project proposals;
- Approval of projects for funding within the project cycle;¹⁶

3.2: Organizing and servicing the Meetings of the Panel of Experts on Project Appraisal under the Funding Strategy:

- Compile and prepare documentation on proposals received.
- Organize and service the meetings of the Committee
- Follow-up on the decisions of the meetings;

3.3: Monitoring and reporting of the projects

The Ad Hoc Advisor Committee has developed draft Monitoring and Evaluation Procedures for projects funded under the Funding Strategy, which include monitoring and evaluation of individual projects and evaluation of the overall programme funded by moneys under the direct control of the Governing Body. This activity would only be undertaken if there are any moneys under the direct control of the Governing Body, which is not the case at present. The conduct of this activity is therefore subject to the conduct and success of the activities listed under Activity 1 and 2 above.

¹⁶ These procedures and responsibilities of the Secretariat have been set out in detail in Annex 3 of the IT/GB-2/07/7 (Report of the Chair of the Ad Hoc Advisory Committee on the Funding Strategy).

Activity 4: Exchange of Information

35. Article 18.4 states that “the Contracting Parties that are developed countries also provide, and Contracting Parties that are developing countries ... avail themselves of, financial resources for the implementation of this Treaty through bilateral and regional and multilateral channels.”¹⁷ This concerns funds which are not under the control of the Governing Body but which are nevertheless provided under the umbrella of, and according to the criteria and priorities of, the Funding Strategy.

36. In Resolution 1/2006, the Governing Body invited “the governing bodies of all relevant international mechanisms, funds and bodies to provide information on their mandates, priorities, eligibility criteria and procedures relevant to the support ... of the Treaty, to be made available through the website of the Treaty.”¹⁸ The Secretariat would issue a wide-ranging request for such information to relevant international mechanisms, funds and bodies and would make the information received available through a specialized online information service.

37. The draft Operational Procedures of the Funding Strategy (Annex 3 of the Funding Strategy) provide that “projects not fundable within that year will be presented to donors for possible funding”.¹⁹ The Secretariat could provide a customized information service for relevant donors to receive project proposals which are eligible for funding according to the criteria and priorities of the Treaty’s Funding Strategy but are not fundable within a given year.

38. In order to promote exchange of information, the Governing Body may wish to consider the following sequence of steps, actions and activities:

Activity 4: Exchange of Information

4.1: *Developing a specialized information service on bilateral and multilateral funding sources available for applicants seeking funding under the Funding Strategy from sources not under the direct control of the Governing Body;*

4.2: *Developing a specialized information service available on the Treaty website for donors on Project proposals which are eligible for funding according to the priorities and criteria of the Treaty’s Funding Strategy, but are not fundable within a given year.*

Activity 5: Reconvening of the Ad Hoc Advisory Committee and outstanding issues under the Funding Strategy

Development of Annex 4 of the Funding Strategy

39. Annex 4 of the Funding Strategy, *Information and Reporting Requirements under the Funding Strategy*, still remains to be drafted. The *Ad hoc* Advisory Committee on the Funding Strategy did not consider this *annex* as it was not within its mandate as established by the Governing Body.

¹⁷ Article 18.4(c).

¹⁸ Para 9, Resolution 1/2006.

¹⁹ Para II.6(c), Annex 3, Operational Procedures, document IT/GB-2/07/7

Ad Hoc Advisory Committee on the Funding Strategy

40. The Report of the Chair of the *Ad Hoc* Advisory Committee on the Funding Strategy concludes that the Committee may continue its work under new terms of reference on: (1) “strategies adopted by the Governing Body to encourage voluntary contributions”, (2) “a process by which Contracting Parties meet with the private sector to encourage voluntary contributions” and (3) “professional help ... to develop an effective strategy to attract voluntary funds.”²⁰ The Committee, therefore, recommended that the Governing Body extend the work of the Committee under new Terms of Reference to address specific issues for the further implementation of the Funding Strategy.

41. The Governing Body may, therefore, wish to consider reconvening *Ad hoc* Advisory Committee to consider the issues listed below, as well as any other tasks the Governing Body may wish it to undertake.

42. The Secretariat could, if requested, prepare any necessary background studies that may be required by the Governing Body for its deliberations or as input to the work of the *Ad Hoc* Advisory Committee, if it is reconvened.

43. The Governing Body may also wish to urge Contracting Parties and invite other governments to consider the provision of financial resources for facilitating its work, including for holding meetings of this Committee, if it is reconvened.

44. In order to address outstanding issues under the Funding Strategy by reconvening the *Ad Hoc* Advisory Committee, the Governing Body may wish to consider the following sequence of steps, actions and activities:

Activity 5: Reconvening of the *Ad Hoc* Advisory Committee and outstanding issues under the Funding Strategy

5.1: *Convening three meetings of the Ad Hoc Advisory Committee on the Funding Strategy under new terms of reference, which might include:*

- *developing draft Annex 4 of the Funding Strategy as envisaged under the Funding Strategy, for consideration by the Governing Body;*
- *developing ways and means to mobilize resources for the implementation of the Treaty, in accordance with Article 18 of the Treaty, as foreseen in Activities 1 and 2;*²¹
- *developing an action plan for Contracting Parties on Article 18.4 a, including the allocation of roles and responsibilities, for the consideration of the Governing Body – whereby they are assigned or take on responsibilities based on a list, to attend meetings of relevant funds and bodies and report to the Governing Body, as foreseen in Activity 1;*
- *developing modalities of a strategy for the mobilization of voluntary contributions, based on preparatory work by the Secretariat and outcome of consultations, and submissions of Contracting Parties and other relevant bodies, as foreseen in Activity 2.*

²⁰ Paras 5, 6, 7 and 20, IT/GB-2/07/07, ‘Report of the Chair of the Ad Hoc Advisory Committee on the Funding Strategy’

²¹ The Funding Strategy, paragraph 2.1.

VI. CONCLUSIONS AND GUIDANCE SOUGHT FROM THE GOVERNING BODY

45. The guidance of the Governing Body is sought on how it may wish to proceed on the strategy, ways and means for mobilizing resources that would facilitate the successful implementation of the Funding Strategy. The Governing Body may wish to consider the proposed list of activities detailed above and itemized in the *annex* to this document, including the establishment of any procedures for the achievement of the related activities.

**LIST OF POSSIBLE ACTIVITIES AND MEASURES FOR THE IMPLEMENTATION
OF THE FUNDING STRATEGY**

**Activity 1: Supporting Contracting Parties in Taking Measures to Ensure Effective
Allocation of Resources for the Funding Strategy²²**

1.1: Defining a strategy and appropriate measures to be taken by Contracting Parties to implement Article 18.4(a); as part of the strategy, setting agreed and coordinated targets for the measures to be taken by Contracting Parties.

1.2: Maintaining a Calendar of Events and list of opportunities for the promotion of the Funding Strategy by Contracting Parties, for instance, by assigning Contracting Parties to relevant institutions, with defined roles and responsibilities, including reporting, feedback and analysis. If the Governing Body decides to extend the work of the Ad Hoc Advisory Committee on the Funding Strategy, this could be coordinated by the Committee.

1.3: Profiling, researching and targeting specific institutions; preparing customized fundraising material to be provided to agreed Contracting Parties responsible for the targeted institutions;

1.4: Establishing high-level contacts between Contracting Parties and 10 international mechanisms, funds and bodies to ensure allocation of resources to the Funding Strategy.

**Activity 2: Developing Modalities of a Strategy to Promote Voluntary Contributions to the
Funding Strategy**

2.1: Convening of a Brainstorming Workshop(s) to bring together selected high-level experts and decision makers on fundraising and voluntary contributions, in order to identify strengths, weaknesses and opportunities for the Treaty and to identify elements of a strategy for the Governing Body and Contracting Parties to promote voluntary contributions.

2.2: Following from 2.1 above, creating elements of a strategy to implement Article 18 and a network of key decision-makers and experts in international funding institutions to function as advisors and ambassadors for the development of the Governing Body's strategies for mobilization of voluntary contributions. This might be formalized by the Governing Body through a high-level committee of eminent persons to promote voluntary contributions on its behalf as well as advise it.

2.3: Following from 2.1 above, developing a Strategy and Options Paper for the Funding Strategy of the Treaty on the strategies, opportunities and possible actions for promoting voluntary contributions, including options for an Action Plan to promote voluntary contributions. The Strategy and Options Paper could be reviewed by the Ad Hoc Advisory Committee, if the Governing Body decides to reconvene it.

2.4: Implementing the Strategy and Options Paper through outreach to, and positive pursuing of, the identified potential sources of financial resources, and based on the outcomes of the Workshop under B.1 above as well as additional contacts and fact-finding missions with Contracting Parties, the private sector, non-governmental organisations, such as foundations, and

²² Article 18.4

other sources.²³ For this purpose, the Governing Body may consider the possibility of authorizing the engagement of a professional fundraiser who will further coordinate the required activities.

2.5: *Holding consultations with the Food Processing Industries to develop a strategy by which the Food Processing Industries could make voluntary benefit-sharing contributions, including possible promotional and positive public relations mechanisms such as seal- or labelling-mechanisms which promote food products that have been subject of voluntary benefit-sharing contributions under the Treaty.*

Activity 3: Operating the Funding Strategy and Disbursement of Funds, if Available

3.1: *Processing of project proposals under the Funding Strategy:*

- *Opening a call for proposals in the official languages, as decided by the Governing Body;*
- *receiving, collecting and compiling Pre-proposals;*
- *preparatory work for screening and response to the Pre-proposals by the Bureau according to the adopted Eligibility Criteria and Priorities;*
- *receiving, processing and making public of project proposals submitted from approved pre-proposals;*
- *Appraisal, ranking and making public of project proposals;*
- *Approval of projects for funding within the project cycle.*²⁴

3.2: *Organizing and servicing the Meetings of the Panel of Experts on Project Appraisal under the Funding Strategy:*

- *Compile and prepare documentation on proposals received*
- *Organize and service the meetings of the Committee*
- *Follow-up from the decisions of the meetings*

3.3: *Monitoring and reporting of the projects:*

The Ad Hoc Advisor Committee has developed draft Monitoring and Evaluation Procedures for projects funded under the Funding Strategy, which include monitoring and evaluation of individual projects and evaluation of the overall programme funded by moneys under the direct control of the Governing Body. This activity would only be undertaken if there are any moneys under the direct control of the Governing Body, which is not the case at present. The conduct of this activity is therefore subject to the conduct and success of the activities listed under Activity 1 and 2 above.

Activity 4: Exchange of Information

4.1: *Developing a specialized information service on bilateral and multilateral funding sources available for applicants seeking funding under the Funding Strategy from sources not under the direct control of the Governing Body.*

4.2: *Developing a specialized information service available on the Treaty website for donors on Project proposals which are eligible for funding according to the priorities and criteria of the Treaty's Funding Strategy, but are not fundable within a given year.*

²³ Resolution 1/2006, paragraph 2 and 7.

²⁴ These procedures and responsibilities of the Secretariat have been set out in detail in Annex 3 of the IT/GB-2/07/7 (Report of the Chair of the Ad Hoc Advisory Committee on the Funding Strategy).

Activity 5: Reconvening of the *Ad Hoc* Advisory Committee and outstanding issues under the Funding Strategy

5.1: Convening three meetings of the Ad hoc Advisory Committee on the Funding Strategy under new terms of reference:

- *developing draft Annex 4 of the Funding Strategy as envisaged under the Funding Strategy, for consideration by the Governing Body;*
- *developing ways and means to mobilize resources for the implementation of the Treaty, in accordance with Article 18 of the Treaty, as foreseen in Activities 1 and 2,²⁵*
- *developing an action plan for Contracting Parties on Article 18.4 a, including the allocation of roles and responsibilities, for the consideration of the Governing Body – whereby they are assigned or take on responsibilities based on a list, to attend meetings of relevant funds and bodies and report to the Governing Body, as foreseen in Activity 1;*
- *developing modalities of a strategy for the mobilization of voluntary contributions, based on preparatory work by the Secretariat and outcome of consultations, and submissions of Contracting Parties and other relevant bodies, as foreseen in Activity 2.*

²⁵ The Funding Strategy, paragraph 2.1.