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L'ALIMENTATION
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ORGANIZACION
DE LAS NACIONES
UNIDAS PARA
LA AGRICULTURA
Y LA ALIMENTACION

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11 June 2008

International Treaty on Plant Genetic Resources for Food and Agriculture

The Secretary of the Governing Body of the International Treaty on Plant Genetic Resources for Food and Agriculture (the "Treaty") has the honour to draw attention to the Report of the Second Session of the Governing Body of the Treaty (Rome, 29 October to 2 November 2007).¹

The Governing Body took a number of decisions that require action by Contracting Parties. The purpose of this communication is to bring to the attention of Contracting Parties those decisions that are addressed to them, or that have direct implications for them. Attached is Table 1 summarizing the action requested from Contracting Parties. Details and background information are contained in the *Annex* to this communication.

Attention is also drawn to the decisions of the First Session of the Governing Body (Madrid, Spain, 12 to 6 June 2006) which still require current and ongoing action by Contracting Parties, or have not yet been addressed by some Contracting Parties. These decisions were contained in the communication to Contracting Parties through Circular State Letter G/X/AGD-8 of 3 November 2006. Table 2 summarizes these decisions.

All information requested in this communication should be sent to:

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International Treaty on Plant Genetic Resources for Food and Agriculture
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¹ Document IT/GB-2/07/Report, *Report of the Second Session of the Governing Body*. The Report is available at: <ftp://ftp.fao.org/ag/agp/planttreaty/gb2/gb2repe.pdf>

TABLE 1

**SUMMARY OF ACTIONS REQUESTED FROM CONTRACTING PARTIES
BY THE SECOND SESSION OF THE GOVERNING BODY**

Para of Annex	ACTIONS REQUESTED FROM CONTRACTING PARTIES	DEADLINE FOR SUBMISSION
2	Provision of information on signals and measures taken for the implementation of the Funding Strategy.	As soon as possible
3-4	Provision of information as the basis for the review and the preparation of the report on the implementation of the Standard Material Transfer Agreement, in particular on the benefit-sharing provisions and the modalities of payment, and the periodic review of the levels of payment by the Governing Body. ¹	As soon as possible
5-8	Information on the progress in the inclusion of plant genetic resources in the Multilateral System	As soon as possible
9-10	Comments on Procedures and operational mechanisms to promote compliance and address issues of non-compliance	31 July 2008
11-2	Information on the status of implementation of Article 6 of the Treaty, including information on policy and legal measures used to achieve the objectives of Article 6	31 July 2008
13-14	Views and experiences on the implementation of Farmers' Rights as set out in Article 9 of the Treaty	31 July 2008
15-21	Contributions to the Core Administrative Budget ²	30 April 2008
22	Contributions to Special Fund for Agreed Purposes <i>Consideration and provision of funds, based on the Secretariat's estimated costs, for the Implementation of each of the activities to be funded under the Special Funds referred to in Rule VI.2b of the Financial Rules of the Treaty.</i>	As soon as possible
23-24	Contributions to Developing Countries' participation Fund Provision of additional funds for the financial support aimed at enabling delegates from developing countries' participation in the meetings of the Governing Body and its subsidiary bodies	As soon as possible
25	Contributions to the Benefit-sharing Fund	As soon as possible

¹ Paragraphs 2 and 9 of Resolution 2/2006.

² Please refer also to the Letter from the Secretary of 28 January 2008.

TABLE 2
SUMMARY OF ACTIONS REQUESTED FROM CONTRACTING PARTIES BY THE
FIRST SESSION OF THE GOVERNING BODY, WHICH ARE STILL CURRENT OR NOT YET
ADDRESSED BY SOME CONTRACTING PARTIES¹

Para of <i>Annex to</i> CSL of 3 Nov. 2006	ACTIONS REQUESTED FROM CONTRACTING PARTIES BY THE <u>FIRST SESSION</u> OF THE GOVERNING BODY	DEADLINE FOR SUBMISSION
1	Nomination of National Focal Points*	As soon as possible
4	Information on actions taken by Contracting Parties: a) within the Governing Bodies of relevant international mechanisms, funds and bodies, to ensure due priority and attention to the effective allocation of predictable and agreed resources for plans and programmes relevant for the implementation of the Treaty; and b) to promote voluntary contributions from sources within their country for plans and programmes relevant for the implementation of the Treaty	Contracting Parties are requested to keep the Secretariat <i>regularly</i> up-dated with such information
4	Information on bilateral funding relevant to the Funding Strategy, available from sources within Contracting Parties.	Contracting Parties are requested to keep the Secretariat <i>regularly</i> up-dated with such information
4	Information on the plans and programmes of Contracting Parties for building capacity in plant genetic resources for food and agriculture, and for the conservation and sustainable use of plant genetic resources for food and agriculture.	Contracting Parties are requested to keep the Secretariat <i>regularly</i> up-dated with such information
9-10	Information on all plant genetic resources for food and agriculture made available by Contracting Parties to the Multilateral System, including those that are under their management and control and in the public domain, and those included by natural and legal persons within their jurisdictions.	Regular up-dates as and when relevant information is available

* For Contracting Parties which have not yet identified their National Focal Points, the official nomination and contact information for the Focal Points (name, title, mail address, e-mail address and telephone numbers) should be sent to the Secretary of the Treaty. The contact details of the Focal Points will be made available through the Website of the Treaty, at http://www.planttreaty.org/members_en.htm, in order to facilitate communication between Contracting Parties. Should a Contracting Party not wish such information to be made public, they are requested to so inform the Secretariat.

¹ These were reflected in the Circular State Letter of 3 November 2006. See also Document IT/GB-1/06/Report, *Report of the First Session of the Governing Body*. The Report is available at the following Internet address: http://www.planttreaty.org/meetings/gb1_en.htm

International Treaty on Plant Genetic Resources for Food and Agriculture

ACTIONS REQUESTED FROM CONTRACTING PARTIES BY THE SECOND SESSION OF THE GOVERNING BODY

I. FUNDING STRATEGY

1. The following paragraphs of the Report of the Second Session of the Governing Body address Contracting Parties, or have direct implications for them:

“49. Several Contracting Parties recalled Article 18.4(b) which specifies that the extent to which Contracting Parties that are developing countries and Contracting Parties with economies in transition will effectively implement their commitments under this Treaty will depend on the effective allocation, particularly by the developed country Parties, of the resources referred to in this Article. Contracting Parties that are developing countries and Contracting Parties with economies in transition will accord due priority in their own plans and programmes to building capacity in plant genetic resources for food and agriculture. They expressed their disappointment at the slow pace of implementation of the Funding Strategy, and noted linkages between the mobilization of financial resources and the compliance provisions of the Treaty, stressing the need for clear signals from developed country partners of their willingness to fulfil their agreed commitments under the Treaty.”

50. The Governing Body stressed that the successful mobilization of adequate financial resources is essential to the implementation of the Treaty, and that the Funding Strategy was an essential element in this regard. It emphasized the need for Contracting Parties to provide financial resources for national activities for the conservation and sustainable use of plant genetic resources for food and agriculture, in accordance with Article 18.4(d) of the Treaty, taking into account the Global Plan of Action for the Conservation and Sustainable Utilization of Plant Genetic Resources for Food and Agriculture.”

2. With regard to paragraphs 49 and 50 above, Contracting Parties are invited to inform the Secretariat of signals and measures taken for the implementation of the Funding Strategy and of ways in which they might facilitate the provision of adequate resources by developed country Contracting Parties for the implementation of the Treaty in Contracting Parties that are developing countries and countries with economies in transition. Information on such measures should be sent to the Secretary of the Treaty, **as soon as possible.**

II. IMPLEMENTATION OF THE MULTILATERAL SYSTEM

Standard Material Transfer Agreement

3. The relevant decisions of the Governing Body regarding the Standard Material Transfer Agreement, which is in *Appendix G* of the Report of its **First Session**, are in Resolution 2/2006, adopted at its **First Session**. The following paragraphs of Resolution 2/2006 are addressed to Contracting Parties, or have implications for them:

“The Governing Body,

2. **Requests** the Secretary of the Treaty to review the implementation and operation of the Standard Material Transfer Agreement, and report to the Governing Body at its third session, in particular on the benefit-sharing provisions and the modalities of payment;
 9. **Decides** to review the levels of payment periodically, in conformity with Article 13.2d(ii) of the Treaty, starting from the third session of the Governing Body.
4. In order to facilitate the preparation of a most comprehensive report and assist the Governing Body in its review of the levels of payment under the SMTA, Contracting Parties may wish to provide the Secretariat with information on their experiences with the implementation of the SMTA, including the experiences of natural and legal persons within their jurisdiction, **as soon as possible**.

Inclusion in the Multilateral System of Plant Genetic Resources for Food and Agriculture held by Natural and Legal Persons

5. Attention is also drawn to paragraph 29 of the Report of the **First Session** of the Governing Body that addresses Contracting Parties, or has direct implications for them:

“29. The Governing Body re-emphasized the importance of Contracting Parties taking appropriate measures to encourage natural and legal persons within their jurisdiction, who hold plant genetic resources for food and agriculture listed in Annex I of the Treaty, to include such plant genetic resources for food and agriculture in the Multilateral System.”

6. The following paragraph of the Report of the Second Session of the Governing Body is also relevant:

“65. The Governing Body [...] requested the Secretary to continue gathering information on the assessment of progress in the inclusion of plant genetic resources in the Multilateral System, through cost-effective means, including gathering information from National Focal Points.”

7. As the Multilateral System has become operational, Contracting Parties may wish to provide the Secretariat with information on all plant genetic resources for food and agriculture made available by them under the Multilateral System, including those that are under their management and control and in the public domain, and those included by natural and legal persons within their jurisdiction, and to update this information as and when necessary.
8. Contracting Parties may wish to provide the Secretariat with information on material in the Multilateral System within their jurisdiction, using the sample letter, approved by the Bureau, and contained in *Addendum 1* to this communication, **as soon as possible**.

III. PROCEDURES AND OPERATIONAL MECHANISMS TO PROMOTE COMPLIANCE AND TO ADDRESS ISSUES OF NON-COMPLIANCE

9. The relevant decisions of the Governing Body are in Resolution 1/2007. In particular, paragraph (i) and (ii) of Resolution 1/2007 states:

“The Governing Body,

(i) **Decides**, pursuant to Article 21 of the Treaty, to consider and approve procedures and operational mechanisms on compliance at its Third Session, on the basis of the draft procedures and operational mechanisms to promote compliance and address issues of non-compliance, which are contained in Appendix I to the Report of the First Session of the Governing Body, and the submissions made by Parties and observers;

(ii) **Requests** the Secretary to compile submissions by Contracting Parties and observers.”

10. Contracting Parties are invited to send their submissions on procedures and operational mechanisms to promote compliance and address issues of non-compliance to the Secretary, no later than **31 July 2008**.

IV. IMPLEMENTATION OF ARTICLE 6 OF THE TREATY: SUSTAINABLE USE OF PLANT GENETIC RESOURCES

11. The relevant decisions of the Governing Body are to be found in paragraph 72 of the Report, which reads:

72. *The Governing Body requested the Secretary to prepare a comprehensive document at its next session covering the status of the implementation of Article 6, which includes information on policy and legal measures used to achieve the objectives of the Article. It invited submissions from Contracting Parties, other governments, and relevant institutions and organizations, and urged an improved process for information gathering on the sustainable use activities, including means such as surveys, conceptual frameworks, inter-sessional meetings and workshops.*

12. Contracting Parties are invited to provide the submissions requested in paragraph 72 of the Report to the Secretary, no later than **31 July 2008**. Contracting Parties are also invited to inform the Secretary of any actions they may take in relation to paragraph 72 of the Report.

V. IMPLEMENTATION OF ARTICLE 9: FARMERS' RIGHTS

13. The relevant decision of the Governing Body is to be found in Resolution 2/2007, which reads:

“The Governing Body,

(vii) **Encourages** Contracting Parties and other relevant organizations to submit views and experiences on the implementation of Farmers' Rights as set out in Article 9 of the International Treaty, involving, as appropriate, farmers' organizations and other stakeholders;

(viii) **Requests** the Secretary to collect these views and experiences as a basis for an agenda item for consideration by the Governing Body at its Third Session to promote the realization of Farmers' Rights at the national level, and to disseminate relevant information through the website of the International Treaty, where appropriate;”

14. Contracting Parties are invited to make submissions as requested by Resolution 2/2007 to the Secretary, no later than **31 July 2008**.

VI. PROGRAMME OF WORK AND BUDGET

15. The relevant decisions of the Governing Body are to be found in Resolution 3/2007, (attached as *Addendum 2* to this communication), entitled Programme of Work and Budget, 2008/2009. Attention is drawn, in particular, to the following paragraphs of Resolution 3/2007, which have implications for Contracting Parties:

“The Governing Body,

(vii) Expresses its concern as to the adequacy of the amount provided for the Treaty in the Regular Budget of FAO for the current biennium to cover the Core Administrative Budget of the Treaty, and invites the Governing Bodies of FAO to fund a significantly higher proportion of the Core Administrative Budget of the Treaty in future biennia, than in the biennium 2006-07;

(viii) Urges all Contracting Parties and States that are not Contracting Parties, as well as intergovernmental organizations, non-governmental organizations and other entities, to contribute to the Core Administrative Budget and the Special Funds of the Treaty;

(xi) Invites Contracting Parties and States that are not Contracting Parties to provide in-kind support to the Secretary, including through the secondment, as appropriate, of staff, taking into consideration criteria of competence and regional balance in the staff;

(xvi) Requests the Secretary to provide to the Contracting Parties, within three months after the closure of this session, an estimate of the cost for:

1. Implementation of each of the activities to be funded under the Special Funds referred to in Rule VI.2b of the Financial Rules of the Treaty; and

2. Provision of support to developing country Contracting Parties and Contracting Parties with economies in transition, to be funded under the Fund referred to in Rule VI.2c of the Financial Rules of the Treaty for the biennium 2008-09.”

Structure of the Budget of the Treaty

16. In adopting the Financial Rules of the Treaty,¹ the Governing Body decided that the Budget of the Treaty should comprise four Trust Funds. These have been established, and given the following symbols:

	Trust Fund Symbol
The Core Administrative Budget; ²	MTF/INT/017/MUL, IT-PGRFA (Core Administrative Budget)
The Special Fund for Agreed Purposes; ³	MTF/INT/019/MUL, IT-PGRFA (Special Fund)
The Special Fund to Support the Participation of Developing Countries and Countries with Economies in	MTF/INT/018/MUL, IT-PGRFA (Developing Country Participation)

¹ *Appendix E* of Document IT/GB-1/06/Report.

² Rule VI.2a.

³ Rule VI.2b.

Transition, in the Governing Body and its subsidiary bodies; ¹ and	
The Benefit-sharing Fund. ²	GINC/INT/031/MUL, IT-PGRFA (Benefit-sharing)

17. **Contracting Parties are requested to clearly state the relevant Trust Fund Symbol in all contributions they make.** Such transfers should be made to the:

Account Name: **FAO Trust Fund (USD)**
Bank Name: **HSBC New York**
Bank Address: **New York, NY, USA**
Swift/BIC: **MRMDUS33**
ABA/Bank Code: **021001088**
Account No.: **000156426**

Core Administrative Budget

18. In its Resolution 3/2007, entitled “Programme of Work and Budget 2008/2009”, the Governing Body “*recognizes that the Treaty is at a critical stage of implementation*” and “*expresses its concern at the limited level of contributions by Contracting Parties to the Core Administrative Budget*” to date. It consequently “*urges all Contracting Parties and States that are not Contracting Parties, as well as intergovernmental organizations, non-governmental organizations and other entities, to contribute to the Core Administrative Budget and the Special Funds of the Treaty*”.

19. By Resolution 3/2007, the Governing Body established its Core Administrative Budget for the 2008/2009 period at **US\$ 5,415,940** (attached as *Addendum 3* to this communication). The budget, as adopted, provides for contributions by Contracting Parties to the Core Administrative Budget for a total of **US\$ 3,808,940**. Contracting Parties are accordingly urged to make their contributions to the **Core Administrative Budget** immediately (for account details, see paragraphs 14 and 15 above and refer to the Letter of 28 January 2008 issued by the Secretariat), to enable the agreed Programme of Work to be implemented.

20. In adopting its Financial Rules, the Governing Body has not yet decided whether the Core Administrative Budget is to be funded by voluntary contributions based on an indicative scale,³ or voluntary contributions.⁴ To the extent that it may be useful to Contracting Parties, an indicative scale, based on the FAO scale of contributions, adjusted according to those countries that were Contracting Parties on 29 October 2007, is attached as *Addendum 4* to this communication.

21. Draft Rule V.2b of the Financial Rules states that “*Each Party shall, as far in advance as possible of the date due for the contribution, inform the Secretary of the contribution it intends to make and of the projected timing of that contribution*”. Although this rule has not yet been agreed, such notification would provide significant help to the Secretary in planning expenditure. Contracting Parties are therefore encouraged to send such notifications to the Secretary, **as soon as possible**.

¹ Rule VI.2c.

² Rule VI.3.

³ see *Appendix E* of Document IT/GB-1/06/Report, Option 1 for Financial Rule 5.1b.

⁴ see *Appendix E* of Document IT/GB-1/06/Report, Option 2 for Financial Rule 5.1b .

Special Fund for Agreed Purposes

22. By paragraph (xvi).1 of Resolution 3/2007, the Governing Body requested the Secretariat to provide an estimate of the cost for the “implementation of each of the activities to be funded under the Special Funds referred to in Rule VI.2b of the Financial Rules of the Treaty”. Accordingly, *Addendum 5* gives a breakdown of the elements of the work program that was considered by the Governing Body at its Second Session. Contributions should be made to the **Special Fund for Agreed Purposes** (for account details, see paragraphs 14 and 15 above).

Special Fund to Support the Participation of Developing Countries and of Contracting Parties with economies in transition

23. By paragraph (xvi).2 of Resolution 3/2007, the Governing Body also requested the Secretariat to provide an estimate of the cost of providing support for the participation of developing country Contracting Parties and Contracting Parties with economies in transition in meetings of the Governing Body. It is estimated that the cost of providing such support for the Second Session of the Governing Body will be approximately US\$ 300,000.

24. Contracting Parties wishing to make contributions for this purpose are requested to do so as soon as possible. Contributions should be made to the **Special Fund to Support the Participation of Developing Countries and of Contracting Parties with economies in transition** (for account details, see paragraphs 14 and 15 above).

Benefit-sharing Fund

25. As noted above, in paragraph 50 of the Report of the First Session of Governing Body, on the Funding Strategy, the Governing Body “*stressed that the successful mobilization of adequate financial resources is essential to the implementation of the Treaty, and that the Funding Strategy was an essential element in this regard*”. Contributions should be made to the **Benefit-sharing Fund** (for account details, see paragraphs 14 and 15 above).

SAMPLE LETTER OF NOTIFICATION OF INCLUSION OF MATERIAL IN THE MULTILATERAL SYSTEM

To the Secretary of the International Treaty
on Plant Genetic Resources for Food and Agriculture
Mr. Shakeel Bhatti
Food and Agriculture Organization of the United Nations
Viale delle Terme di Caracalla 1
00153 Rome, Italy

Subject: notification regarding the contribution of the [name of the CP/Natural or Legal person] to the Multilateral System

The International Treaty on Plant Genetic Resources for Food and Agriculture (the Treaty) has established a Multilateral System of Access and Benefit-sharing.

Regarding the coverage of the Multilateral System, Article 11 specifies that the Multilateral System shall include all plant genetic resources for food and agriculture listed in *Annex I* that are under the management and control of the Contracting Parties and in the public domain, and that Contracting Parties invite other holders of the plant genetic resources for food and agriculture listed in *Annex I* to include these in the Multilateral System.

Herewith, the [name of the CP/Natural or Legal person] wishes to notify to you that the following plant genetic resources for food and agriculture listed in *Annex I* and maintained in [name of the CP] have been included in the Multilateral System.

1. The collections held by [name of the collection centre], [name of the country], located in XX. Through the website [url address] detailed data on the composition of the collection and user procedures to order samples are readily available.
2. The [name of species] collection held by the [name of the collection centre] located in XX [and consisting of...]. The website [url address] provides access to the collection's database.

Germplasm held in the collections listed above will be made available to users under the conditions of the Standard Material Transfer Agreement of the International Treaty on Plant Genetic Resources for Food and Agriculture.

Addendum 2

RESOLUTION 3/2007

Programme of Work and Budget 2008-09

THE GOVERNING BODY,

- (i) **Adopts** the Core Administrative Budget for the biennium 2008-09, as indicated in *Annex 1* of *Appendix F*;
- (ii) **Approves** a working capital reserve for the biennium 2008-09 at the level of 10 percent of the Core Administrative Budget, excluding the FAO contribution;
- (iii) **Recalls** that the FAO Governing Bodies have determined that the International Treaty on Plant Genetic Resources for Food and Agriculture is a priority FAO activity;
- (iv) **Recognizes** that the Treaty is at a critical stage of implementation;
- (v) **Expresses** its concern at the limited level of contributions by Contracting Parties to the Core Administrative Budget for the biennium 2006-07 to date;
- (vi) **Takes note** of the proposed contribution by FAO of US\$ 1,607,000;
- (vii) **Expresses** its concern as to the adequacy of the amount provided for the Treaty in the Regular Budget of FAO for the current biennium to cover the Core Administrative Budget of the Treaty, and **invites** the Governing Bodies of FAO to fund a significantly higher proportion of the Core Administrative Budget of the Treaty in future biennia, than in the biennium 2006-07;
- (viii) **Urges** all Contracting Parties and States that are not Contracting Parties, as well as intergovernmental organizations, non-governmental organizations and other entities, to contribute to the Core Administrative Budget and the Special Funds of the Treaty;
- (ix) **Notes** the Secretariat staffing table for the biennium 2008-09 set out in *Annex 2* of *Appendix F* to this Report, recognizing that the precise staffing arrangements are a matter of the normal executive authority of the Secretary;
- (x) **Decides** that the contribution of the FAO shall be used before any other source of income is used for the funding of the Core Administrative Budget;
- (xi) **Invites** Contracting Parties and States that are not Contracting Parties to provide in-kind support to the Secretary, including through the secondment, as appropriate, of staff, taking into consideration criteria of competence and regional balance in the staff;
- (xii) **Authorizes** the Secretary to transfer resources between the main appropriation lines of the Core Administrative Budget up to an aggregate of 15 percent of the Operating Budget, provided that no more than 25 percent of any main appropriation line may be transferred to another;

(xiii) **Decides** that the meetings identified in the Core Administrative Budget, and other meetings agreed upon by the Governing Body, shall constitute the work programme for the Governing Body for the biennium 2008-09;

(xiv) **Requests** the Secretary to convene meetings of the *Ad hoc* Advisory Committee for the Funding Strategy, under the Special Funds referred to in Rule VI.2c of the Financial Rules of the Treaty;

(vx) **Decides** to create a “Coordinating Mechanism for Capacity Building” for the national implementation of the Treaty, subject to the availability of funds, whose operation shall for the 2008-09 biennium be funded from voluntary contributions to the Special Funds referred to in Rule VI.2b of the Financial Rules of the Treaty.¹

(xvi) **Requests** the Secretary to provide to the Contracting Parties, within three months after the closure of this session, an estimate of the cost for:

1. Implementation of each of the activities to be funded under the Special Funds referred to in Rule VI.2b of the Financial Rules of the Treaty; and
2. Provision of support to developing country Contracting Parties and Contracting Parties with economies in transition, to be funded under the Fund referred to in Rule VI.2c of the Financial Rules of the Treaty for the biennium 2008-09.

(xvii) **Decides** that Contracting Parties that are developing countries or countries with economies in transition, should be informed in a timely manner by the Secretary, before a meeting, of the availability of funding for their participation in that meeting from the Fund referred to in Rule VI.2c of the Financial Rules of the Treaty. Where such funding is limited, priority should be given to the least developed countries;

(xviii) **Requests** the Secretary to prepare and submit a Draft Programme of Work, including a Secretariat staffing table and a Draft Resolution on the Programme of Work and Budget, for the biennium 2010-11 for the consideration of the Governing Body at its Third Session, and to report on progress on income and expenditures, as well as any adjustments made to the budget for the biennium 2008-09;

(xix) **Requests** the Secretary, working with the Bureau, to prepare and submit a Business Plan for the implementation of the Treaty, for consideration by the Governing Body at its Third Session and decision at its Fourth Session.

(Adopted on 2 November 2007)

¹ The Government of the Kingdom of Spain pledged substantial funds to cover this activity

PROGRAMME OF WORK AND BUDGET, 2008/09

CORE ADMINISTRATIVE BUDGET OF THE INTERNATIONAL TREATY ON PLANT GENETIC RESOURCES FOR FOOD AND AGRICULTURE			
	2008	2009	Total
<u>A. Secretariat Staff and Consultancy Costs</u>			
-Module A	914 954	829 412	1 744 366
-Module B	50 000	229 466	279 466
-Module C	0	0	0
-Module D	589 866	720 948	1 310 814
Sub-total	1 554 820	1 779 826	3 334 646
<u>B. Meetings</u>			
Third Session of the Governing Body	-	630 000	630 000
Bureau Meetings (3)	24 000	12 000	36 000
Information Technology Consultations (3)	25 000	50 000	75 000
Third Party Beneficiary Committee (1)	0	25 000	25 000
Capacity-building Coordination Mechanism (2)	12 000	12 000	24 000
Sub-total	61 000	729 000	790 000
<u>C. Other Costs</u>			
Core staff duty travel	92 667	155 333	248 000
Publications	25 000	25 000	50 000
Supplies and equipment	40 000	29 000	69 000
Sub-total	157 667	209 333	367 000
D. General Operating Expenses (4% of A+B +C)	70 939	108 726	179 666
E. Operating Budget (A+B+C+D)	1 844 426	2 826 885	4 671 312
F. Project Servicing Costs (13% of E less FAO Contribution)	135 320	263 040	398 361
G. Core Administrative Budget before Working Capital Reserve (E+F)	1 979 747	3 089 925	5 069 672
H. Working Capital Reserve (10% of G less FAO Contribution)	117 625	228 643	346 267
I. Core Administrative Budget after Working Capital Reserve (G+H)	2 097 372	3 318 568	5 415 940
FAO Contribution (programme entity PE 2AP03)	803 500	803 500	1 607 000
Balance to be funded	1 293 872	2 515 068	3 808 940

INDICATIVE SCALE, BASED ON THE FAO SCALE OF CONTRIBUTIONS of 2007, ADJUSTED ACCORDING TO THOSE COUNTRIES THAT WERE CONTRACTING PARTIES ON 29 OCTOBER 2007

**Indicative scale of Contracting Parties' Contributions 2008-2009
based on the new FAO scale 2008-2009**

Contracting Parties to the IT-PGRFA in bold	FAO scale 2006-07	New FAO Scale 2008-09	Contracting Parties to the Treaty as of 29 Oct. 2007	FAO scale of contributions adjusted for Parties to the Treaty 2006-07	FAO scale of contributions adjusted for Parties to the Treaty 2008-09	Approved Budget 2008/09, balance to be funded by Cont. Parties US\$ 3,808,940
Afghanistan	0.002	0.001	0.001		0.002	77
Albania	0.005	0.006				0
Algeria	0.078	0.086	0.086	0.164	0.174	6,621
Angola	0.001	0.003	0.003	0.002	0.006	231
Antigua and Barbuda	0.003	0.002				0
Argentina	0.975	0.327				0
Armenia	0.002	0.002	0.002		0.004	154
Australia	1.624	1.796	1.796	3.42	3.630	138,275
Austria	0.876	0.892	0.892	1.845	1.803	68,675
Azerbaijan	0.005	0.005				0
Bahamas	0.013	0.016				0
Bahrain	0.031	0.033				0
Bangladesh	0.01	0.01	0.01	0.021	0.020	770
Barbados	0.01	0.009				0
Belarus	0.018	0.02				0
Belgium	1.09	1.108				0
Belize	0.001	0.001				0
Benin	0.002	0.001	0.001	0.004	0.002	77
Bhutan	0.001	0.001	0.001	0.002	0.002	77
Bolivia	0.009	0.006				0
Bosnia-Herzegovina	0.003	0.006				0
Botswana	0.012	0.014				0
Brazil	1.554	0.88	0.88	3.272	1.779	67,751
Bulgaria	0.017	0.02	0.02	0.036	0.040	1,540
Burkina Faso	0.002	0.002	0.002		0.004	154
Burundi	0.001	0.001	0.001	0.002	0.002	77
Cambodia	0.002	0.001	0.001	0.004	0.002	77
Cameroon	0.008	0.009	0.009	0.017	0.018	693
Canada	2.869	2.992	2.992	6.041	6.048	230,355
Cape Verde	0.001	0.001				0
Central African Republic	0.001	0.001	0.001	0.002	0.002	77
Chad	0.001	0.001	0.001	0.002	0.002	77
Chile	0.228	0.162				0
China	2.094	2.681				0
Colombia	0.158	0.106				0
Comoros	0.001	0.001				0
Congo	0.001	0.001	0.001	0.002	0.002	77
Congo, Dem. Rep.	0.003	0.003	0.003	0.006	0.006	231
Cook Islands	0.001	0.001	0.001	0.002	0.002	77
Costa Rica	0.031	0.032	0.032		0.065	2,464
Côte d'Ivoire	0.01	0.009	0.009	0.021	0.018	693

Croatia	0.038	0.05				0
Cuba	0.044	0.054	0.054	0.093	0.109	4,157
Cyprus	0.04	0.044	0.044	0.084	0.089	3,388
Czech Republic	0.187	0.283	0.283	0.394	0.572	21,788
Dem. People's Republic of Korea	0.01	0.007	0.007	0.021	0.014	539
Denmark	0.732	0.743	0.743	1.541	1.502	57,204
Djibouti	0.001	0.001	0.001	0.002	0.002	77
Dominica	0.001	0.001				0
Dominican Republic	0.036	0.024				0
Ecuador	0.019	0.021	0.021	0.04	0.042	1,617
Egypt	0.122	0.089	0.089	0.257	0.180	6,852
El Salvador	0.023	0.02	0.02	0.048	0.040	1,540
Equatorial Guinea	0.002	0.002				0
Eritrea	0.001	0.001	0.001	0.002	0.002	77
Estonia	0.012	0.016	0.016	0.025	0.032	1,232
Ethiopia	0.004	0.003	0.003	0.008	0.006	231
Fiji	0.004	0.003	0.003		0.006	231
Finland	0.544	0.567	0.567	1.145	1.146	43,653
France	6.151	6.333	6.333	12.952	12.801	487,579
Gabon	0.009	0.008	0.008		0.016	616
Gambia	0.001	0.001				0
Georgia	0.003	0.003				0
Germany	8.835	8.62	8.62	18.603	17.424	663,656
Ghana	0.004	0.004	0.004	0.008	0.008	308
Greece	0.541	0.599	0.599	1.139	1.211	46,117
Grenada	0.001	0.001	0.001		0.002	77
Guatemala	0.031	0.032	0.032	0.065	0.065	2,464
Guinea	0.003	0.001	0.001	0.006	0.002	77
Guinea-Bissau	0.001	0.001	0.001	0.002	0.002	77
Guyana	0.001	0.001				0
Haiti	0.003	0.002				0
Honduras	0.005	0.005	0.005	0.011	0.010	385
Hungary	0.129	0.245	0.245	0.272	0.495	18,863
Iceland	0.035	0.037	0.037		0.075	2,849
India	0.43	0.452	0.452	0.905	0.914	34,800
Indonesia	0.145	0.162	0.162	0.305	0.327	12,472
Iran, Islamic Republic of	0.16	0.181	0.181	0.337	0.366	13,935
Iraq	0.016	0.015				0
Ireland	0.357	0.447	0.447	0.752	0.904	34,415
Israel	0.476	0.421			0.000	0
Italy	4.983	5.105	5.105	10.492	10.319	393,035
Jamaica	0.008	0.01	0.01	0.017	0.020	770
Japan	19.858	16.708				0
Jordan	0.011	0.012	0.012	0.023	0.024	924
Kazakhstan	0.026	0.029				0
Kenya	0.009	0.01	0.01	0.019	0.020	770
Kiribati	0.001	0.001	0.001	0.002	0.002	77
Korea, Republic of	1.832	2.184				0
Kuwait	0.165	0.183	0.183	0.347	0.370	14,089
Kyrgyzstan	0.001	0.001				0
Lao	0.001	0.001	0.001	0.002	0.002	77
Latvia	0.015	0.018	0.018	0.032	0.036	1,386

Lebanon	0.025	0.034	0.034	0.053	0.069	2,618
Lesotho	0.001	0.001	0.001	0.002	0.002	77
Liberia	0.001	0.001	0.001	0.002	0.002	77
Libyan Arab Jamahiriya	0.135	0.062	0.062	0.284	0.125	4,773
Lithuania	0.025	0.031	0.031	0.053	0.063	2,387
Luxembourg	0.079	0.086	0.086	0.166	0.174	6,621
Madagascar	0.003	0.002	0.002	0.006	0.004	154
Malawi	0.001	0.001	0.001	0.002	0.002	77
Malaysia	0.207	0.191	0.191	0.436	0.386	14,705
Maldives	0.001	0.001	0.001	0.002	0.002	77
Mali	0.002	0.001	0.001	0.004	0.002	77
Malta	0.014	0.017				0
Marshall Islands	0.001	0.001				0
Mauritania	0.001	0.001	0.001	0.002	0.002	77
Mauritius	0.011	0.011	0.011	0.023	0.022	847
Mexico	1.921	2.268				0
Micronesia	0.001	0.001				0
Moldova	0.001	0.001				0
Monaco	0.003	0.003				0
Mongolia	0.001	0.001				0
Morocco	0.048	0.042	0.042	0.101	0.085	3,234
Mozambique	0.001	0.001				0
Myanmar	0.01	0.005	0.005	0.021	0.010	385
Namibia	0.006	0.006	0.006	0.013	0.012	462
Nauru	0.001	0.001				0
Nepal	0.004	0.003				0
Netherlands	1.724	1.883	1.883	3.63	3.806	144,973
New Zealand	0.226	0.257				0
Nicaragua	0.001	0.002	0.002	0.002	0.004	154
Niger	0.001	0.001	0.001	0.002	0.002	77
Nigeria	0.043	0.048				0
Niue	0.001	0.001				0
Norway	0.693	0.786	0.786	1.459	1.589	60,514
Oman	0.071	0.073	0.073	0.149	0.148	5,620
Pakistan	0.056	0.059	0.059	0.118	0.119	4,542
Palau	0.001	0.001				0
Panama	0.019	0.023	0.023	0.04	0.046	1,771
Papua New Guinea	0.003	0.002				0
Paraguay	0.012	0.005	0.005	0.025	0.010	385
Peru	0.094	0.078	0.078	0.198	0.158	6,005
Philippines	0.097	0.078	0.078		0.158	6,005
Poland	0.47	0.504	0.504	0.99	1.019	38,803
Portugal	0.479	0.53	0.53	1.009	1.071	40,805
Qatar	0.065	0.086			0.000	0
Romania	0.061	0.07	0.07	0.128	0.141	5,389
Russian Federation		1.206				0
Rwanda	0.001	0.001				0
Saint Kitts and Nevis	0.001	0.001				0
Saint Lucia	0.002	0.001	0.001	0.004	0.002	77
Saint Vincent and the Grenadines	0.001	0.001				0
Samoa	0.001	0.001	0.001	0.002	0.002	77
San Marino	0.003	0.003				0

Sao Tome and Principe	0.001	0.001	0.001	0.002	0.002	77
Saudi Arabia	0.727	0.752	0.752	1.531	1.520	57,897
Senegal	0.005	0.004	0.004		0.008	308
Serbia	0.019	0.021				0
Seychelles	0.002	0.002	0.002	0.004	0.004	154
Sierra Leone	0.001	0.001	0.001	0.002	0.002	77
Slovakia	0.052	0.063				0
Slovenia	0.084	0.097	0.097	0.177	0.196	7,468
Solomon Islands	0.001	0.001				0
Somalia	0.001	0.001				0
South Africa	0.298	0.292				0
Spain	2.571	2.983	2.983	5.414	6.030	229,662
Sri Lanka	0.017	0.016				0
Sudan	0.008	0.01	0.01	0.017	0.020	770
Suriname	0.001	0.001				0
Swaziland	0.002	0.002				0
Sweden	1.018	1.076	1.076	2.144	2.175	82,842
Switzerland	1.221	1.222	1.222	2.571	2.470	94,082
Syrian Arab Republic	0.039	0.016	0.016	0.082	0.032	1,232
Tajikistan	0.001	0.001				0
Tanzania	0.006	0.006	0.006	0.013	0.012	462
Thailand	0.213	0.187				0
The Former Yugoslavia Rep. of Macedonia	0.006	0.005				0
Timor Leste	0.001	0.001				0
Togo	0.001	0.001	0.001		0.002	77
Tonga	0.001	0.001				0
Trinidad and Tobago	0.023	0.027	0.027	0.048	0.055	2,079
Tunisia	0.033	0.031	0.031	0.069	0.063	2,387
Turkey	0.38	0.383	0.383		0.774	29,487
Turkmenistan	0.005	0.006				0
Tuvalu	0.001	0.001				0
Uganda	0.006	0.003	0.003	0.013	0.006	231
Ukraine	0.04	0.045				0
United Arab Emirates	0.24	0.304	0.304	0.505	0.614	23,405
United Kingdom	6.25	6.675	6.675	13.16	13.492	513,910
United States of America	22	22				0
Uruguay	0.049	0.027	0.027	0.103	0.055	2,079
Uzbekistan	0.014	0.008				0
Vanuatu	0.001	0.001				0
Venezuela	0.174	0.201	0.201	0.366	0.406	15,475
Viet Nam	0.021	0.024				0
Yemen	0.006	0.007	0.007	0.013	0.014	539
Zambia	0.002	0.001	0.001	0.004	0.002	77
Zimbabwe	0.007	0.008	0.008	0.015	0.016	616
TOTAL	100	100	49.473		100	3,808,940.00

**Estimate of costs for each of the activities to be funded
under the Special Funds of the Treaty (Rule VI.2b)**

Introduction

In its Resolution 3/2007 adopted at its Second Session held in Rome in October 2007, the Governing Body “recognizes that the Treaty is at a critical stage of implementation” and “expresses its concern at the limited level of contributions by Contracting Parties” to the budget of the Treaty to date. It consequently “urges all Contracting Parties and States that are not Contracting Parties, as well as intergovernmental organizations, non-governmental organizations and other entities, to contribute to the Core Administrative Budget *and the Special Funds of the Treaty*”.

Financial Rule VI.2(b) of the Treaty makes provision for the Secretary to accept funds for purposes agreed between the contributor and the Secretary through Special Funds, namely a Multidonor Trust Fund or separate Trust Funds, for activities other than the regular Secretariat functions covered by the Core Administrative Budget.

This document gives an estimate of the cost for the implementation of each of the activities to be funded under the Special Funds referred to in Financial Rule VI.2(b). The respective activities are considered as “projects” and consequently are eligible for extra-budgetary funding through the Trust Fund for Purposes Agreed Between the Contributor and the Secretary – covered by Financial Rule VI.2(b). Many projects are of a “technical assistance” nature – providing technical assistance to Contracting Parties that so request, to initiate the operation of the Multilateral System in their countries. The technical assistance nature of the projects may facilitate the task of mobilizing funds, as in many countries support for such activities can be considered under their development assistance budgets, rather than under the budgets covering Treaty obligations.

Module A - Operation and Development of the Treaty's Multilateral System of Access and Benefit-sharing

Activity A 1 Reviewing of, and Reporting on, the Operation of the Multilateral System	Total US\$
A 1.1 7 case studies about the inclusion of genetic material in the Multilateral System	67,152
A 1.2 Case studies of how 7 Contracting Parties and their national stakeholders are implementing the Multilateral System in practice	67,152
A 1.3 Documentation of practices by which Contracting Parties are encouraging the private sector to contribute material to the Multilateral System	131,594
A 1.4 Data analysis for the Report requested by Resolution 2/2006 for the Third Session of the Governing Body, including data on benefit-sharing and SMTA operation	117,010
TOTAL A 1	382,908

Activity A 2 Policy Guidance on Operation and Evolution of the Multilateral System	Total US\$
A 2.1 Documenting concrete practices and procedures used by Contracting Parties	123,200
A 2.2 Based on the documentation gathered under A.2.1 above, development of Options and Guide, on practices and procedures that respect the spirit of the Treaty	34,200
A 2.3 Based on the documentation gathered under A.1 and A.2, development of Provider Procedures and Recipient Procedures for the Multilateral System and subsequent peer review	228,472
TOTAL A 2	385,872

Activity A 4 Facilitating start-up, operation and increased coverage of the Multilateral System at the national and regional level	Total US\$
A 4.1 A target should be to service 50 countries in the biennium, with missions of one week	23,318
A 4.2 upon request and in accordance with guidance given by the Governing Body: direct, customized policy analysis and advice to Contracting Parties and regional organizations	374,010
A 4.3 Online reporting system and Online Database on the Treaty website regarding national and regional legislative, measures (monolingual)	128,504
A 4.4 Regional- and international training courses on the use of the SMTA and SMTA management tools	373,628
TOTAL A 4	899,460

Module B - Implementing the Treaty's Funding Strategy

Activity B 1 Supporting Contracting Parties in taking measures to ensure effective allocation of resources	Total US\$
B 1.1 Establishment of a Calendar of Events for promotion of the Funding Strategy by Contracting Parties.	89,108
B 1.2 Customized, professional fundraising and promotional materials for Contracting Parties on the Treaty's Funding Strategy	191,673

B 1.3 Preparation, support and facilitation of high-level outreach and measures by Contracting Parties to targeted international mechanisms	135,788
B 1.4 Considering the development of Annex 4 of the Funding Strategy, as originally foreseen by document	88,485
B 1.5 Meetings of the Ad Hoc Advisory Committee on the Funding Strategy	174,727
TOTAL B 1	679,781

Activity B 2 Developing Strategies to Promote Voluntary Contributions to the Funding Strategy	Total US\$
B 2.1 Convening of a Brainstorming Workshop(s)	178,268
B 2.4 Holding consultations with the Food Processing Industries to develop a strategy by which the Food Processing Industries could make voluntary benefit-sharing contributions	352,400
TOTAL B 2	530,668

Activity B 3 Operating the Funding Strategy and Disbursement of Funds, if any are available	Total US\$
B 3.1 Opening a call for proposals in the official languages, as decided by the Governing Body; receiving, collection and compilation of Pre-proposals	120,876
B 3.2 Organizing and servicing the Meetings of the Panel of Experts on Project Appraisal under the Funding Strategy	62,072
B 3.3 Monitoring and reporting of the projects	195,984
TOTAL B 3	378,932

Activity B 4 Providing information services on bilateral, regional and multilateral funding sources	Total US\$
B 4.1 Developing an information service on bilateral and multilateral funding sources	209,428
B 4.2 Information service for donor institutions on priority and eligibility projects, eligible for funding under the Funding Strategy of the Treaty	102,184
TOTAL B 4	311,612

Module C - implementation of the International Treaty at national and Regional Levels

Activity C 1 Policy direction and guidance on the implementation of the Treaty	Total US\$
C 1 Policy guidance on the implementation of Articles 5, 6 and 9 at the national level through focused information gathering and meetings aimed at developing guidance on the options and possibilities for the national implementation of these articles	361,624
TOTAL C 1	361,624

Activity C 2 Legal assistance in the implementation of the Treaty	Total US\$
C 2 Upon request, providing legal comments or legal drafting services in accordance with the guidance of the Governing Body on implementation of the Treaty	539,620
TOTAL C 2	539,620

Activity C 3 Capacity building on the national and regional implementation of the Treaty	Total US\$
C 3.1 Establishment of a Coordinating Mechanism for capacity building for the national implementation of the Treaty	283,888
C 3.2 Development of applied, practical and standardized information material for capacity building on implementation of the Treaty	872,726
C 3.3 Extending the National Focal Point system established by the Treaty and building the capacity of National Focal Points	398,108
TOTAL C 3	1,554,722

Activity C 4 Awareness raising, training and promotion of the International Treaty	Total US\$
C 4.1 Information and publicity material support to Modules A and B	467,180
C 4.2 Cooperation Agreements with the leading universities on genetic resource law and policy for delivery of distance learning course and specialized training on the International Treaty	141,590
C 4.3 Maintenance and updating of the Treaty website, including integration of the Multilateral System's Information Support Infrastructure on the Treaty website	37,554
TOTAL C 4	646,324