

Overview of the Project Preparation Process

Participatory Assessment of land degradation and sustainable land management in grassland and pastoral systems

Outline of Session 7

I. Main steps of GEF LD project design process

- i. Project Overview
- ii. Overview of the GEF Full-Sized Project Preparation Process
- iii. 4 Steps to Project Preparation

II. PPG Approach

- I. PPG Workplan and Timeline



Main steps of GEF LD project design process

Project Overview

➤ Project Objective:

- Strengthen the capacity of local and national stakeholders in grassland and pastoral areas of target countries to assess land degradation and make informed decisions promoting SLM in a way that preserves the diverse ecosystem services grasslands provide

➤ Project Components :

- Component 1: Define and test a participatory assessment system to assess LD and SLM in grassland and pastoral areas in at least 3 regions
- Component 2: Design, test and disseminate an integrated and participatory assessment process to estimate the health of grassland/pastoral areas
- Component 3: Inform national agro-sylvo-pastoral decision making processes
- Component 4: Knowledge management, monitoring and evaluation

Project Overview

➤ **Project Identification Form Approval Date:**

- **March 18, 2014**

➤ **Proposed Duration:**

- **36 months**

➤ **GEF Indicative Grant Amount:**

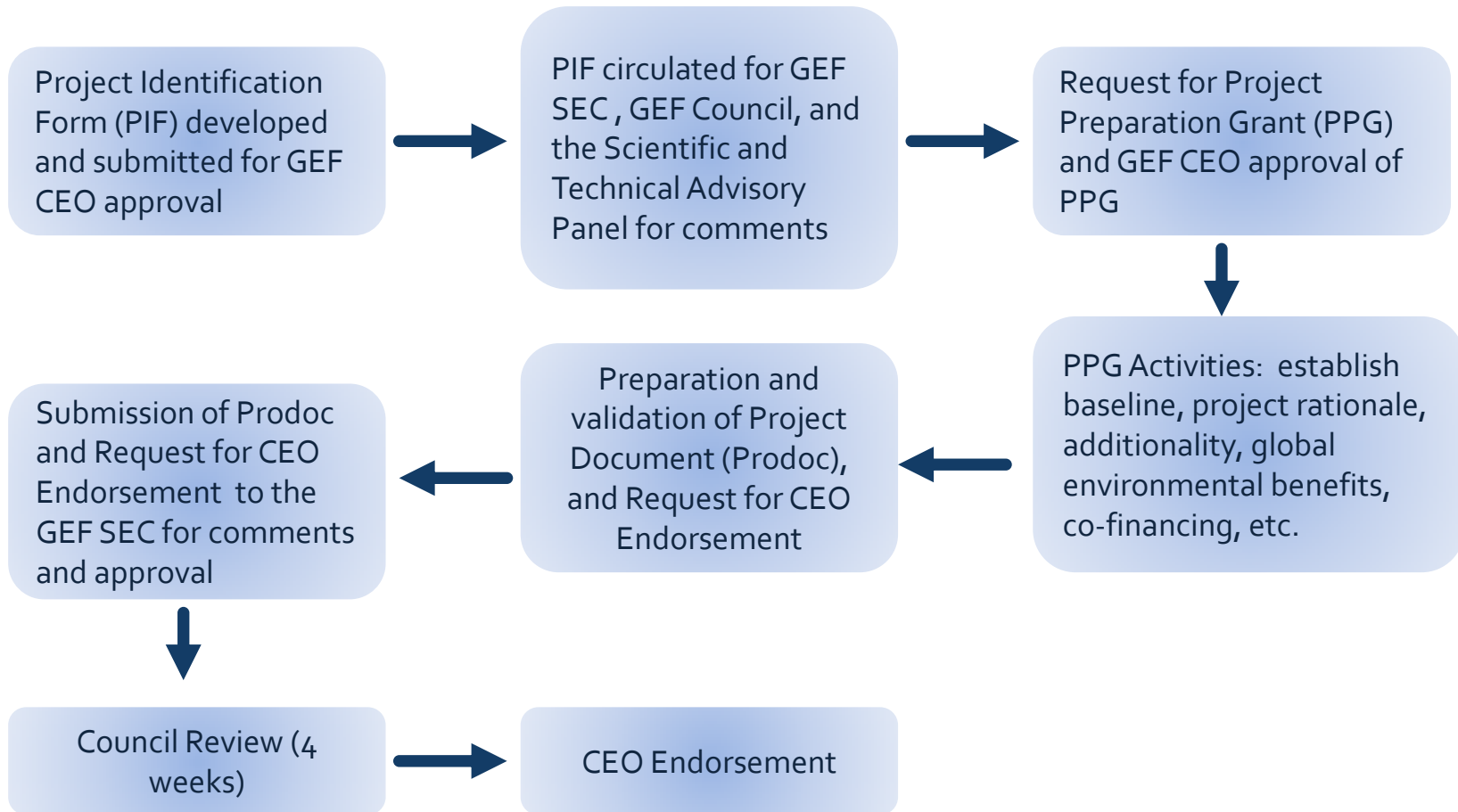
- **\$2,639,726**

➤ **Proposed Co-Financing:**

- **\$6,000,000**



Overview of GEF Full Sized Project Process



Source: Figure adapted from GEF website "What is the GEF Project Cycle."

Overview of PPG Steps

➤ Four major steps

1. Define the project scope and situation analysis
2. Define the project strategy, the institutional set-up, and the monitoring and evaluation system
3. Engage key stakeholders
4. Develop a financing and co-financing plan

Project Scope

➤ Step 1: Define the Project Scope

- Identify the current and projected risks and challenges posed by LD in the project's intervention zones
- Identify the gaps to be filled in terms of assessment and monitoring aspects, including for LD, land restoration and SLM
- Identify the existing baseline projects and initiatives
- Identify the legal and policy framework

Project Scope

➤ Step 1: Define the Project Scope – cont'd

- Identify the pilot countries and the intervention sites based on pre-defined selection criteria
- Clarify the additionality related to the project's proposed outcomes and interventions vis-à-vis the baseline initiatives
- Identify the principle entry points for integrating the project in policies and plans at the national, district, and local levels as well as in complementary projects

PPG Steps

- **Step 2: Define the project strategy, the institutional arrangements and the M&E system**
 - Finalize a strategic results framework, including proposed outcomes, outputs and activities
 - Define an outline for M&E
 - Define the roles and responsibilities of implementing partners and responsible parties
 - Develop an exit strategy



PPG Steps

➤ Step 3: Engage key stakeholders

- Mobilize and involve stakeholders who will benefit from project interventions
- Define the scope/extent of partnerships
- Mobilize national stakeholders through participatory activities:
 - Relevant national ministries and organisations
 - Relevant local and district authorities
 - Civil Society
 - Research Institutions
 - Active projects and programs

PPG Steps

- **Step 4: Develop a financing plan and strategy for co-financing**
 - **Facilitate and support negotiations and consultations with Governments on all questions related to the project**
 - **Explore multi-lateral and bi-lateral opportunities for co-financing**
 - **Prepare official letters of endorsement and co-financing confirmations**



PPG Approach

PPG Workplan

➤ Major Steps to the PPG Workplan

▪ Project Preparation and Inception

- Adjust initial project proposed logical framework
- Address GEF Secretariat and STAP comments
- Organise the inception workshop
- Finalize and agree on the PPG Workplan



PPG Workplan

➤ Major Steps to the PPG Workplan

▪ Baseline and situation analysis

- IUCN to prepare a study on:
 - (i) a literature review of existing assessment and monitoring methods and tools and identification of gaps and challenges
 - (ii) a potential process for developing a participatory indicator system
 - (iii) linkages between future project components
- Specific report on LD, land restoration and SLM to be prepared for each targeted country (key challenges, barriers, risks, legal and policy framework in place, key entry points, baseline projects)

PPG Workplan

➤ Major Steps to the PPG Workplan

- **Preparation of a detailed project concept note, including:**
 - synthesis of identified barriers and challenges
 - baseline initiatives
 - additional reasoning
 - proposed project strategy (outcomes, outputs and activities)
 - initial thoughts on institutional set-up and management arrangements
 - Project output-budget
- **Validation workshop**
- **Preparation of full Project Document and Request for CEO Endorsement**

PPG Workplan & Timeline

Step 2: Baseline and situation analysis

Date	Activity/Deliverable	Responsible Party
End of February	Specific report on LD, land restoration and SLM to be prepared for each targeted country	FAO LTO with support from Baastel
Early March	Draft report on: <ul style="list-style-type: none">• a literature review of existing assessment and monitoring methods and tools and identification of gaps and challenges• a potential process for developing a participatory indicator system• linkages between future project components	IUCN
By mid-March	All country reports are revised and finalized	Baastel and FAO LTO
By mid-March	Revision of draft IUCN report	Baastel
End of April	Final IUCN report	IUCN

PPG Workplan & Timeline

Steps 3 and 4: Project concept note, validation workshop & Preparation of Draft Prodoc

Date	Activity/Deliverable	Responsible Party
Early May	Project Concept Note	Baastel
By May 15 th	Comments on draft concept note	FAO LTO FAO TCI
End of May	Validation Workshop and Report	Baastel with coordination support from FAO
End of July	<ul style="list-style-type: none">• Finalization of co-financing agreements• Preparation of the Draft Prodoc including all Annexes• Preparation of the LD Tracking Tool• Draft detailed budget, including co-financing budget in English	Baastel

PPG Workplan & Timeline

Step 5: Finalise Prodoc, develop Request for CEO Endorsement and submission to the GEF

Date	Activity/Deliverable	Responsible Party
Mid-August	Comments on draft Prodoc	FAO LTO
		FAO GEF unit
Early September	Adjusted Prodoc and development of Request for CEO Endorsement	Baastel
Mid-September	Submission to GEF / Council distribution	FAO TCI
October	Final Prodoc	Baastel
	Final Request for CEO Endorsement	FAO TCI
	Comments response Matrix	



Discussion on overall project design process