

APPENDIX A.3

RESOLUTION 3/2009

IMPLEMENTATION OF THE FUNDING STRATEGY OF THE TREATY

THE GOVERNING BODY,

PART I

ANNEX 4 OF THE FUNDING STRATEGY

Recalling that the aims of the Funding Strategy are the development of ways and means by which adequate resources are available for the implementation of the International Treaty, and the transparent, efficient and effective utilization of all resources made available under the Funding Strategy;

1. **Adopts** Annex 4 of the Funding Strategy, *Information and Reporting Requirements under the Funding Strategy*, as contained in Annex 1 to this Resolution;

PART II

THE STRATEGIC PLAN FOR THE IMPLEMENTATION OF THE BENEFIT-SHARING FUND OF THE FUNDING STRATEGY

Recalling that the aims of the Funding Strategy are the development of ways and means by which adequate resources are available for the implementation of the International Treaty, and the transparent, efficient and effective utilization of all resources made available under the Funding Strategy;

Recalling that the effective implementation of the Funding Strategy is critical to the implementation of the International Treaty;

Emphasizing Article 18.1, as well as Articles 18.3 and 18.4 b, c and f of the International Treaty;

Recognizing that successful resource mobilization requires initial investment in the resource mobilization itself;

2. **Welcomes** the Strategic Plan for the implementation of the Benefit-sharing Fund of the Funding Strategy, as contained in Appendix 2 of document *Report of the Co-Chairs of the Ad Hoc Committee on the Funding Strategy: Draft Strategic Plan for the Implementation of the Benefit-sharing Fund of the Funding Strategy*¹, and **agrees** that this plan will constitute a basis for the implementation of the Benefit-sharing Fund of the Funding Strategy by the Secretariat and the Contracting Parties;

3. **Reaffirms** the commitment of Contracting Parties to implement Article 18, in particular Article 18.4 b and c;

¹ IT/GB-3/09/7 App. 2.

4. Based on Article 18.3, **establishes** a target of US\$ 116 million between July 2009 and December 2014. This target may be reviewed by the Governing Body on a regular basis;
5. **Decides** to reconvene the *Ad Hoc* Advisory Committee on the Funding Strategy, with Terms of Reference, as contained in *Annex 2* to this Resolution:
 - i. to advise the Bureau and the Secretary on resource mobilization efforts, including on innovative approaches;
 - ii. to advise the Bureau and the Secretary on the operation of the Benefit-sharing Fund, including on the disbursement and reporting procedures;
 - iii. to address remaining issues within the full remit of the Funding Strategy, i.e. not only the Benefit-sharing Fund but also other elements of the Funding Strategy, in particular resources not under the direct control of the Governing Body;
 - iv. to advise on the monitoring of the implementation of the overall Funding Strategy and on the assessment of its efficacy;
 - v. to report on the progress of its work to the Bureau of the Governing Body and to present the result of its work in the Fourth Session of the Governing Body;
6. **Agrees** to engage “goodwill ambassadors” who will contribute to increasing public awareness, making the case for the Benefit-sharing Fund and presenting it to decision-makers, and **requests** the Secretary, in consultation with the Bureau, to invite appropriate individuals;
7. **Takes full note** of the Strategic Plan’s section on resource and staffing implications, and **requests** the Secretary to engage adequate fundraising services with the aim to reach the above-mentioned target;
8. **Invites** Contracting Parties to explore, including with relevant stakeholders, the development of innovative approaches to allow for the provision of resources to the Benefit-sharing Fund, including on a regular and predictable basis;

PART III

OPERATIONALIZATION OF THE FUNDING STRATEGY

Recalling Resolution 1/2006 on the Funding Strategy;

Recognizing the importance of making concrete progress in the operationalization of the Funding Strategy;

Taking into account the experiences gained in the first project cycle of the Benefit-sharing Fund of the Funding Strategy of the International Treaty;

Recalling that the Operational Procedures for the Use of Resources under the Direct Control of the Governing Body are based on the following principles:

- 1) Transparency and impartiality;
- 2) Simplicity and accessibility;
- 3) Efficiency and effectiveness;

9. **Decides** that:

- i. any governmental or non-governmental organization, including genebanks and research institutions, farmers and farmers' organizations, and regional and international organizations, based in countries that are Contracting Parties to the International Treaty, may apply for grants under the Benefit-sharing Fund;
 - ii. the list of Contracting Parties eligible to apply for support under the Benefit-sharing Fund will be prepared by the Secretary for each round of the project cycle, based on a complete list of developing countries derived from the most recent World Bank's classification of economies;
 - iii. plant genetic resources for food and agriculture listed in *Annex 1* of the International Treaty resulting from projects funded by the Benefit-sharing Fund shall be made available according to the terms and conditions of the Multilateral System, and information generated by projects funded through the Benefit-sharing Fund shall be made publicly available within 1 year of the completion of the project;
10. **Requests** the Secretary to:
 - i. consult within FAO, in order to find interim arrangements for the disbursement of funds, and project reporting and monitoring, for the conclusion of the first project cycle;
 - ii. make the necessary practical arrangements, and proceed with the disbursement of funds for the approved projects under the Benefit-sharing Fund of the International Treaty;
 - iii. continue collaborating with the Executive Secretary of the Global Crop Diversity Trust and other international organizations in the further development and implementation of operational procedures; and
 - iv. develop disbursement, reporting and monitoring procedures for the operation of future project cycles, for consideration and approval by the Governing Body at its Fourth Session.
11. **Thanks** the Executive Secretary of the Global Crop Diversity Trust for the support to the Secretary of the International Treaty in the development and execution of the first call for proposals under the Benefit-sharing Fund;
12. **Thanks** the experts who evaluated the projects for their valuable assistance and **decides** that in future rounds of the project cycle, the Panel of Experts for project proposal appraisal will be composed of at least two experts per region in each project cycle, selected by the Bureau, in consultation with the regions, from a roster of experts;
13. **Requests** the Secretary to bring project proposals favourably appraised but not funded during the first project cycle to the attention of relevant international mechanisms, funds and bodies, both bilateral and multilateral, in accordance with paragraph 6.c of the Operational Procedures;
14. **Invites** relevant international mechanisms, funds and bodies to consider those project proposals favourably, and to inform the Secretary of the funding and progress of those projects, as part of the Funding Strategy, for reporting to the Governing Body at its Fourth Session; and
15. **Decides** to delegate authority for the execution of the project cycle during the next biennium 2010/2011 to the Bureau.

ANNEX 4 OF THE FUNDING STRATEGY

INFORMATION AND REPORTING REQUIREMENTS UNDER THE FUNDING STRATEGY

Annex 4 sets out the information and reporting requirements under the Funding Strategy of the Treaty, in order to facilitate the monitoring of the implementation of the Funding Strategy and the assessment of its efficacy by the Governing Body.

The relevant information is to be compiled by the Secretariat. The pertinent elements of the reports to the Governing Body will also be made available through the website of the Treaty.

I. Periodicity of information and reporting

Information and reporting to the Governing Body will normally be provided every two years, or according to the periodicity of the regular sessions of the Governing Body. Reporting periods will normally cover the preceding two full calendar years before meetings of the Governing Body.

II. Information and reporting on resources under the direct control of the Governing Body

The information and reporting on resources under the direct control of the Governing Body will be provided by the Secretariat and will include:

- General overview on and status of funds received and disbursed under the benefit-sharing fund², according to: priorities established under *Annex 1* of the Funding Strategy; beneficiaries by stakeholder groups and geographic area; and crops addressed; and other relevant criteria; in accordance with generally accepted accounting principles, and, as soon as these have been adopted by FAO, in accordance with the International Public Sector Accounting Standards.
- Detailed data on and breakdown of funds received to the benefit-sharing fund, including information on individual contributors, corresponding amounts received, provenance of funds by contributors category and regional distribution;
- Synoptic information on projects funded from the benefit-sharing fund, including project description and status, and reflecting the information generated under the project reporting and monitoring as foreseen in the project cycle in *Annex 3* of the Funding Strategy;
- Assessment and evaluation of the sustainable effects and impacts of the use of resources under the direct control of the Governing Body, according to the independent evaluation procedures set out in the project cycle in *Annex 3* of the Funding Strategy;
- Assessment and evaluation of the overall operation of the benefit-sharing fund, including the receipt, administration and disbursement of funds, and the management of the project cycle;

² The benefit-sharing fund will include:

- Mandatory and voluntary contributions pursuant to Article 13.2 of the International Treaty
- Voluntary contributions from any source to implement the Funding Strategy provided for in Article 18 of the International Treaty

The benefit-sharing fund will be administered through the Trust Account referred to in Article 19.3 (f) of the International Treaty.

- Emerging issues and possible measures that might be considered by the Governing Body to improve the operations of the Funding Strategy regarding resources under the direct control of the Governing Body.

III. Information and reporting on resources not under the direct control of the Governing Body

The information and reporting on resources not under the direct control of the Governing Body provided by Contracting Parties, non-Contracting Parties, international organisations with which the Governing Body has entered into agreements, and relevant international mechanisms, funds and bodies, will be compiled by the Secretariat.

- 1) Information and reporting provided according to standard formats by Contracting Parties and non-Contracting Parties will include:
 - Reporting on the results of measures taken within the Governing Bodies of relevant international mechanisms, funds and bodies, to ensure due priority and attention to the effective allocation of predictable and agreed resources for plans and programmes relevant for the implementation of the Treaty;
 - Reporting on the results of actions taken to promote voluntary contributions from sources within their country for plans and programmes relevant for the implementation of the Treaty;
 - Information on bilateral funding and assistance provided relevant to the Funding Strategy from sources within their country;
 - Information on national activities, plans and programmes for building capacity in plant genetic resources for food and agriculture, and for the conservation and sustainable use of plant genetic resources for food and agriculture.
- 2) Information and reporting provided by international organisations with which the Governing Body has entered into agreements will include:
 - Reporting on resources provided and activities undertaken in support of the implementation of the Treaty.
- 3) Information and reporting provided by relevant international mechanisms, funds and bodies will include:
 - Information on their mandates, priorities, eligibility criteria, procedures and availability of resources relevant to the support of actions for the implementation of the Treaty.

Annex 2: Terms of Reference for the Ad Hoc Advisory Committee on the Funding Strategy

1. The Committee will comprise up to two members nominated by each Region. Two Co-chairs, one from a developing and one from a developed country Contracting Party, will be elected from the members of the Committee.
2. The Committee will hold two meetings.
3. The Committee will carry out the following tasks:
 - Advise the Bureau and the Secretary on resource mobilization efforts, including on innovative approaches;
 - Advise the Bureau and the Secretary on the operation of the Benefit-sharing Fund, including on the disbursement and reporting procedures;
 - Address remaining issues within the full remit of the Funding Strategy, i.e. not only the Benefit-sharing Fund but also other elements of the Funding Strategy, in particular resources not under the direct control of the Governing Body;
 - Advise on the monitoring of the implementation of the overall Funding Strategy and on the assessment of its efficacy;
 - Report on the progress of its work to the Bureau and present the result of its work in the Fourth Session of the Governing Body.