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# COMMISSION ON GENETIC RESOURCES FOR FOOD AND AGRICULTURE

### Item 3 of the Provisional Agenda

### **Eighteenth Regular Session**

27 September – 1 October 2021

## **CLIMATE CHANGE AND** GENETIC RESOURCES FOR FOOD AND AGRICULTURE

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#### I. INTRODUCTION

1. The Commission on Genetic Resources for Food and Agriculture (Commission), at its Seventeenth Regular Session, requested FAO to prepare a scoping study on the role of genetic resources for food and agriculture (GRFA) in adaptation to and mitigation of climate change, including knowledge gaps, taking into account the special reports on terrestrial and marine systems by the Intergovernmental Panel on Climate Change (IPCC) and other available relevant sources, including examples from different regions and subsectors.<sup>1</sup>

- 2. The Commission requested its Intergovernmental Technical Working Groups (Working Groups) to review the study and, if a global assessment of the role of GRFA is considered pertinent, to provide guidance to the Commission on its preparation.<sup>2</sup> In addition, the Commission requested the Secretariat to prepare a draft work plan for review by its Working Groups at their next sessions.<sup>3</sup>
- 3. The present document provides an overview of the Commission's past work on climate change, presents key findings of the scoping study, describes how climate change is currently reflected in the Commission's work on GRFA and, based on the guidance received from the Working Groups, proposes activities the Commission may wish to consider as part of its future work on climate change. Details of work relevant to the Commission's work under the FAO Climate Change Strategy are presented in the document *Country and FAO activities on climate change*.<sup>4</sup>

#### II. BACKGROUND

- 4. At its Twelfth Regular Session in 2009, the Commission recognized the need to address climate change in its Multi-Year Programme of Work (MYPOW). This led to the preparation of a series of sectoral studies, published in 2011. An overview publication based on the sectoral studies was published in 2015.
- 5. In 2011, the Commission agreed on the need for a work programme on climate change and GRFA, and requested its Secretary to develop such a programme based on four main elements: strategies and policies; tools and technologies for genetic resources and climate change; forging partnerships; and monitoring progress. In 2013, the Commission adopted the Programme of Work on Climate Change and Genetic Resources for Food and Agriculture. In 2015, the Commission endorsed the *Voluntary Guidelines to Support the Integration of Genetic Diversity into National Climate Change Adaptation Planning* (Voluntary Guidelines). During the same year, the FAO Conference approved the Voluntary Guidelines and invited countries to implement them. The Commission also approved a proposed revision to its Programme of Work on Climate Change and Genetic Resources for the period of 2015 to 2016.
- 6. In 2017, the Commission welcomed the progress made in the implementation of the Programme of Work on Climate Change and Genetic Resources for Food and Agriculture and decided to integrate the work on climate change into its MYPOW. <sup>13</sup> It also requested FAO to ensure the full integration of the Commission's work on climate change and GRFA into the Organization's Strategic

<sup>3</sup> CGRFA-17/19/Report, paragraph 30.

<sup>&</sup>lt;sup>1</sup> CGRFA-17/19/Report, paragraph 29.

<sup>&</sup>lt;sup>2</sup> Ibid.

<sup>&</sup>lt;sup>4</sup> CGRFA-18/21/3/Inf.2.

<sup>&</sup>lt;sup>5</sup> CGRFA-12/09/Report, paragraph 78.

<sup>&</sup>lt;sup>6</sup> See Background Study Papers No. 48, 53, 54, 55, 56, 57, 60.

<sup>&</sup>lt;sup>7</sup> FAO. 2015. *Coping with climate change – the roles of genetic resources for food and agriculture*. Rome. (also available at http://www.fao.org/3/i3866e/I3866E.pdf).

<sup>&</sup>lt;sup>8</sup> CGRFA-13/11/Report, paragraph 52.

<sup>&</sup>lt;sup>9</sup> CGRFA-14/13/Report, paragraph 36.

<sup>&</sup>lt;sup>10</sup> CGRFA-15/15/Report, paragraph 34.

<sup>&</sup>lt;sup>11</sup> C 2015/REP, paragraph 52.

<sup>&</sup>lt;sup>12</sup> CGRFA-15/15/Report, paragraph 35.

<sup>&</sup>lt;sup>13</sup> CGRFA-16/17/Report Rev.1, paragraph 27.

Framework and its Climate Change Strategy. 14 Currently, the FAO Climate Change Strategy is under review. 15

7. As noted above, in 2019, the Commission requested the preparation of a scoping study on GRFA and climate change and requested the Secretariat to prepare a draft work plan for review by the Working Groups at their next sessions. <sup>16</sup> The Evaluation of FAO's support to climate action (SDG13) and the implementation of FAO's Strategy on Climate Change (2017) have been finalized. <sup>17</sup>

## III. THE ROLE OF GENETIC RESOURCES FOR FOOD AND AGRICULTURE IN CLIMATE CHANGE ADAPTATION AND MITIGATION

- 8. In response to the Commission's request, <sup>18</sup> FAO prepared the scoping study contained in the document *The role of genetic resources for food and agriculture in climate change adaptation and mitigation*. <sup>19</sup> The scoping study, prepared in collaboration with partners, draws on the abovementioned 2015 overview publication and a review of literature published since. It takes into account the Special Reports prepared by the IPCC on *Global warming of 1.5°C*, <sup>20</sup> *Climate change and land*, <sup>21</sup> *The ocean and cryosphere in a changing climate* <sup>22</sup> and the outcomes of the Intergovernmental Science Policy Platform on Biodiversity and Ecosystem Services (IPBES)-IPCC co-sponsored workshop on biodiversity and climate change. <sup>23</sup> Key stakeholders from the crop and livestock breeding industries, as well as researchers from universities and the Consultative Group for International Agricultural Research (CGIAR), provided inputs.
- 9. The scoping study focuses mainly on the state of current uses of GRFA in adapting the agricultural sectors to climate change. It also explores the impacts of climate change on GRFA and considers the significance of GRFA in climate change adaptation and mitigation.
- 10. The impacts of climate change on GRFA have been and continue to be studied, and there is scientific consensus that these impacts are overwhelmingly negative. GRFA have the potential to contribute significantly to the adaptation of crop and livestock production, forestry, fisheries and aquaculture to climate change, and also have potential to contribute to climate change mitigation. While there are many examples around the world that illustrate this potential, GRFA are not usually considered a crucial part of climate change adaptation and mitigation strategies; they are often taken for granted. The scoping study points out that the specifics of climate change adaptation and mitigation differ by sector, although they are closely interlinked, and concludes that policy recommendations therefore need to be presented through a sector-specific lens while acknowledging that many climate change issues are cross-sectoral. However, while a number of countries have

<sup>20</sup> IPCC. 2018. Summary for Policymakers. *In* V. Masson-Delmotte, P. Zhai, H. O. Pörtner, D. Roberts, J. Skea, P. R. Shukla, A. Pirani *et al.*, eds. *Global warming of 1.5°C. An IPCC special report on the impacts of global warming of 1.5°C above pre-industrial levels and related global greenhouse gas emission pathways, in the context of strengthening the global response to the threat of climate change, sustainable development, and efforts to eradicate poverty.* World Meteorological Organization, Geneva, Switzerland. (available at https://www.ipcc.ch/sr15/chapter/spm/).

<sup>&</sup>lt;sup>14</sup> CGRFA-16/17/Report Rev.1, paragraph 27.

<sup>&</sup>lt;sup>15</sup> CL 166/REP paragraph 24i and CL 167/REP paragraph 11f.

<sup>&</sup>lt;sup>16</sup> CGRFA-17/19/Report, paragraph 30.

<sup>&</sup>lt;sup>17</sup> PC 130/12; PC 130/12 Supp.1.

<sup>&</sup>lt;sup>18</sup> CGRFA-17/19/Report, paragraph 29.

<sup>&</sup>lt;sup>19</sup> CGRFA-18/21/3/Inf.1.

<sup>&</sup>lt;sup>21</sup> IPCC. 2019. Summary for Policymakers. *In P.R.* Shukla, J. Skea, E. Calvo Buendia, V. Masson-Delmotte, H.-O. Pörtner, D.C. Roberts, P. Zhai *et al.*, eds. *Climate change and land: an IPCC special report on climate change, desertification, land degradation, sustainable land management, food security, and greenhouse gas <i>fluxes in terrestrial ecosystems*. Geneva, Switzerland. (available at https://www.ipcc.ch/srccl/chapter/summary-for-policymakers/).

<sup>&</sup>lt;sup>22</sup> IPCC. 2019. *IPCC Special report on the ocean and cryosphere in a changing climate*. H.-O. Pörtner, D.C. Roberts, V. Masson-Delmotte, P. Zhai, M. Tignor, E. Poloczanska, K. Mintenbeck *et al.*, eds. Geneva, Switzerland. (available at https://www.ipcc.ch/srocc/).

<sup>&</sup>lt;sup>23</sup> Pörtner, H.O., Scholes, R.J., Agard, J., Archer, E., Arneth, A., *et al.* 2021. *Scientific outcome of the IPBES-IPCC co-sponsored workshop on biodiversity and climate change*, IPBES secretariat, Bonn, Germany, DOI:10.5281/zenodo.4659158.

policies in place that allow for the integration of sustainable use of GRFA into climate change adaptation and mitigation strategies, there is generally little information available on the implementation of such policies or on their impact.

- 11. The scoping study further concludes that the contributions of the characterization, conservation, breeding and sustainable use of GRFA to climate change adaptation and mitigation should continue to be strengthened in all sectors, as the potential to use GRFA in climate change adaptation and mitigation remains largely untapped. From a policy point of view, it concludes that integrating climate change-related actions into the Commission's global plans of action and relevant national policies and strategies is an effective way of achieving this objective.
- 12. In addition, the scoping study concludes that, while there is an increasing amount of scientific knowledge available on the impacts of climate change on GRFA and the general adaptability of breeds and species, few data are available on the state of implementation of genetic improvement efforts targeting climate-resilience, and very few are available on the state of adoption of newly bred climate-resilient genetic material. Furthermore, some existing GRFA information systems lack comprehensive data on traits relevant to climate change adaptability or mitigation potential, and where trait information exists deploying appropriate germplasm at a given site may be a problem. Data, breeding and GRFA dissemination systems are all important for the development of adaptation and mitigation strategies that take into account the potential of GRFA.

#### IV. CLIMATE CHANGE IN THE COMMISSION'S WORK

13. While climate change is one of the cross-sectoral work streams of the Commission's MYPOW, it is also reflected in its sectoral global assessments and policy instruments.

Climate change and the Commission's global assessments

- 14. Climate change and, in particular, the effects of climate change on GRFA and GRFA management, are addressed in all the State of the World reports. To a lesser extent, the reports also address adaptation to climate change and, in the case of animal genetic resources (AnGR) and forest genetic resources (FGR), mitigation of climate change.
- 15. The State of the World's Aquatic Genetic Resources for Food and Agriculture (SoW-AqGR)<sup>24</sup> and The State of the World's Biodiversity for Food and Agriculture (SoW-BFA),<sup>25</sup> both published in 2019, identify climate change among the main drivers directly and indirectly affecting aquatic genetic resources (AqGR) and biodiversity for food and agriculture (BFA). The SoW-BFA discusses many aspects of the links between biodiversity and climate change, including policy and legal frameworks in this field and the role of BFA in the supply of climate-regulating ecosystem services. The SoW-AqGR identifies needs and gaps in the conservation, sustainable use and development of AqGR that need to be addressed in relation to climate change.
- 16. Climate change will also feature in future reports, such as *The Third Report on the State of the World's Plant Genetic Resources for Food and Agriculture* (SoW-PGRFA-3), which is currently under preparation. A thematic study will deepen the results of the scoping study and provide empirical evidence on the observed trends; it will strengthen chapters 2 and 3 of the SoW-PGRFA-3, on conservation and sustainable use of PGRFA. <sup>26</sup> The relevant document, *Preparation of country reports for the Third Report on the State of the World's Plant Genetic Resources for Food and Agriculture*, <sup>27</sup> mentions the increased attention being given to climate change since 2012 and invites countries to answer the following questions: "How have farmers' responses to climate change affected on-farm management and improvement of PGRFA [(plant genetic resources for food and agriculture)]? What

<sup>&</sup>lt;sup>24</sup> FAO. 2019. *The State of the World's Aquatic Genetic Resources for Food and Agriculture*. FAO Commission on Genetic Resources for Food and Agriculture assessments. Rome. (also available at http://www.fao.org/3/ca5256en/CA5256EN.pdf).

<sup>&</sup>lt;sup>25</sup> FAO. 2019. *The State of the World's Biodiversity for Food and Agriculture*, J. Bélanger & D. Pilling (eds.). FAO Commission on Genetic Resources for Food and Agriculture Assessments. Rome. 572 pp. (also available at http://www.fao.org/3/ca3129en/CA3129EN.pdf).

<sup>&</sup>lt;sup>26</sup> CGRFA-18/21/12.4.

<sup>&</sup>lt;sup>27</sup> CGRFA-17/19/9.4/Inf.1.

trends have been observed?" In addition, countries will have the opportunity to report on the role of PGRFA in climate change adaptation and mitigation in their summative narrative reports. *The Guidelines for the preparation of country reports for The Second Report on the State of the World's Forest Genetic Resources* contain Verifier B.4.1.3: "Number of countries that have integrated FGR conservation and use into their national adaptation strategies for climate change". <sup>28</sup>

Climate change and the Commission's policy instruments

- 17. Climate change plays an increasingly important role in the policy instruments prepared by the Commission in response to the global assessments. The Global Plan of Action for Animal Genetic Resources (GPA-AnGR) refers, for example, to climate change in the "long-term goal" under Strategic Priority Area 2 (Sustainable Use and Development) and in the introduction to Strategic Priority Area 3 (Conservation). <sup>29</sup> However, the implementation of climate change-related activities is currently not included in the ongoing monitoring of the implementation of the GPA-AnGR. <sup>30</sup>
- 18. In reviewing the GPA-AnGR, the Commission, at its Sixteenth Regular Session, <sup>31</sup> and the FAO Conference, in Resolution 3/2017, <sup>32</sup> invited Members to integrate animal genetic diversity into national climate change adaptation planning, addressing its potential in both adaptation to and mitigation of climate change, and requested FAO to review progress in the implementation of the GPA-AnGR and its relevance and orientation in light of new and emerging challenges and opportunities in the management of AnGR. Climate change may also play an important role in future calls for proposals under the Funding Strategy for the Implementation of the GPA-AnGR. <sup>33</sup>
- 19. The Second Global Plan of Action for Plant Genetic Resources for Food and Agriculture contains references to climate change in 13 of the 18 Priority Activities on sustainable use, conservation and building sustainable institutional and human capacities.<sup>34</sup> However, neither the Higher-Order Composite Indices for Plant Genetic Resources for Food and Agriculture Targets<sup>35</sup> nor the Draft revised reporting format for monitoring the implementation of the Second Global Plan of Action on Plant Genetic Resources for Food and Agriculture<sup>36</sup> refer to climate change.
- 20. The Global Plan of Action for Forest Genetic Resources (GPA-FGR) refers to climate change across all its Strategic Priority Areas. In addition, Strategic Priority 14 "Support climate change adaptation and mitigation through proper management and use of FGR"<sup>37</sup> specifically addresses the issue of climate change. It refers to current growing concerns about climate change and its effects on ecosystems and how the performance of forest-related production systems challenges stakeholders in FGR management to better understand forest species and their adaptation mechanisms. The tools adopted by the Commission for monitoring the implementation of the GPA-FGR<sup>38</sup> also track the integration of FGR conservation and use into national adaptation strategies for climate change. Furthermore, the Funding Strategy for the Implementation of the GPA-FGR<sup>39</sup> encourages countries to mainstream the conservation, use and development of FGR into large and holistic country-led actions that are designed to enhance forest-based adaptation and mitigation measures for climate change.

<sup>&</sup>lt;sup>28</sup> CGRFA-17/19/10.3/Inf.1.

<sup>&</sup>lt;sup>29</sup> FAO. 2007. *Global Plan of Action for Animal Genetic Resources and the Interlaken Declaration*. Rome. (also available at http://www.fao.org/3/a-a1404e.pdf).

<sup>&</sup>lt;sup>30</sup> CGRFA/WG-AnGR-5/09/3.1; http://www.fao.org/animal-genetics/global-policy/reporting-system/en/

<sup>&</sup>lt;sup>31</sup> CGRFA-16/17/Report Rev.1, Appendix D.

<sup>&</sup>lt;sup>32</sup> Resolution 3/2017: Reaffirming the World's commitment to the Global Plan of Action for Animal Genetic Resources.

<sup>&</sup>lt;sup>33</sup> CGRFA-17/19/11.2/Inf.2.

<sup>&</sup>lt;sup>34</sup> FAO. 2011. Second Global Plan of Action for Plant Genetic Resources for Food and Agriculture. Rome. (also avaliable at http://www.fao.org/3/i2624e/i2624e00.pdf).

<sup>&</sup>lt;sup>35</sup> Background Study Paper No.67.

<sup>&</sup>lt;sup>36</sup> CGRFA-17/19/9.2/Inf.6.

<sup>&</sup>lt;sup>37</sup> FAO. 2014. *Global Plan of Action for Forest Genetic Resources*. Rome. (also available at http://www.fao.org/3/a-i3849e.pdf).

<sup>&</sup>lt;sup>38</sup> CGRFA-16/17/20.

<sup>&</sup>lt;sup>39</sup> CGRFA-17/19/Report, *Appendix D*.

21. The Commission's future policy instruments may also address climate change in considerable detail. The document *Biodiversity for food and agriculture – revised draft needs and possible actions*, 40 developed in response to the SoW-BFA, refers to climate change in multiple places, including its rationale and objectives and under Strategic Priority Area 2 (Management of biodiversity for food and agriculture). Similarly, the draft Global Plan of Action on Aquatic Genetic Resources for Food and Agriculture, which the Commission will consider at this Session, provides an opportunity to agree on climate change-related action, including integration of information on traits relevant to climate change adaptation and mitigation in the new information system for AqGR.

#### V. DRAFT WORK PLAN ON CLIMATE CHANGE

- 22. Given the Commission's decision, at its Sixteenth Regular Session, to integrate its work on climate change into its MYPOW, future work on climate change should form part of the MYPOW as a work stream. It should also build on the current work of the Commission on GRFA and be complementary to the work of other relevant international organizations. A draft revised version of the MYPOW workstream on climate change is contained in *Appendix I*.
- 23. Interactions between BFA, including GRFA, and climate change occur at the genetic, species and production-system level. Based on the results of the scoping study, it is evident that there continue to be many knowledge gaps about interactions at all levels. The study shows that, in particular, further research is needed to fill data and information gaps regarding the genetic level and regarding climate change-related breeding programmes in the public and the private sectors.
- 24. Though some countries have policies in place to integrate the sustainable use of GRFA into climate change actions, information on the implementation of such policies and on their impact is scarce. A country-driven reporting process could possibly provide a better global overview of national policies and their implementation, and would also be an opportunity for countries to review their GRFA-related climate policies and programmes at national level.
- 25. The Commission's work plan on GRFA and climate change could consist of the following four complementary elements.

Publication of the scoping study

26. After its review by the Working Groups and the Commission, it is foreseen that the draft scoping study will be published. Sector-specific topics from the study could serve as a basis for the forthcoming State of the World reports or their supporting thematic studies.

Country and stakeholder draft questions on climate change and GRFA

- 27. Draft questions on climate change and GRFA are given in *Appendix II*.
- 28. The Working Groups noted that, given the complexity of the information requested and the already heavy reporting burden on countries, there may be a need to streamline reporting processes. <sup>41</sup> They noted that the draft questions could be reviewed, if the Commission so wishes, and that a shorter version of them could be developed. <sup>42</sup>
- 29. Taking into consideration the similarities and differences across the different sectors, the draft questions, once reviewed, could be either included in future reporting formats through which countries report on the implementation of sectoral global action plans (and for the preparation of future state of the world reports) or be used for a separate country-driven global assessment of the role of GRFA for adaptation to and mitigation of climate change.
- 30. The Commission's global assessments and policy instruments already make reference to climate change, even though to varying degrees and in different chapters. The Commission may wish

<sup>&</sup>lt;sup>40</sup> CGRFA-18/21/7.2, Appendix.

<sup>&</sup>lt;sup>41</sup> CGRFA/WG-AnGR-11/21/Report, paragraph 39; CGRFA/WG-AqGR-3/21/Report, paragraph 38; CGRFA/WG-FGR-6/21/Report, paragraph 33; CGRFA/WG-PGR-10/21/REPORT, paragraph 55.

<sup>&</sup>lt;sup>42</sup> CGRFA/WG-AnGR-11/21/Report, paragraph 39; CGRFA/WG-AqGR-3/21/Report, paragraph 38; CGRFA/WG-FGR-6/21/Report, paragraph 35; CGRFA/WG-PGR-10/21/REPORT, paragraph 54.

to specify how future global assessments should address climate change: in a separate chapter or as a cross-cutting issue in relevant chapters e.g. on sustainable use, conservation and policies and institutions.

Reviewing the Voluntary Guidelines to Support the Integration of Genetic Diversity into National Climate Change Adaptation Planning

31. The Voluntary Guidelines, <sup>43</sup> adopted by the Commission in 2015, focus on adaptation. However, since 2015, the Koronivia Joint Work on Agriculture <sup>44</sup> and the FAO Climate Change Strategy <sup>45</sup> have been adopted, and both stress the role of the agriculture sectors in climate change mitigation. This may warrant a revision of the Voluntary Guidelines, taking into account the need to address the vulnerabilities of genetic resources to climate change, in line with relevant international agreements.

Improving the integration of BFA, including GRFA, in FAO's work on climate change

32. Considering the evaluation of FAO's support to climate action (SDG 13)<sup>46</sup> and the implementation of FAO's Strategy on Climate Change (2017), and the forthcoming review of FAO's Strategy on Climate Change, the Commission may wish to recommend FAO to fully integrate BFA and GRFA issues into its future work on climate change.

#### VI. GUIDANCE SOUGHT

- 33. The Commission may wish to:
  - i. take note of the scoping study on the role of GRFA in adaptation to, and mitigation of, climate change and request FAO to publish it;
  - ii. review and revise, as appropriate, the draft revised MYPOW workstream on climate change, as contained in *Appendix I* to this document;
  - iii. stress that future work of the Commission on climate change should build on the current work on BFA and GRFA and be complementary to the work of other relevant international organizations;
  - iv. request FAO to review and revise, as appropriate, the draft questions on climate change and GRFA, as contained in *Appendix II* to this document, with a view to shorten, simplify and test them, for consideration by the Working Groups;
  - v. request the Working Groups to advise the Commission as to whether the draft questions should be integrated into future state of the world reports or be used for a separate global assessment on climate change and GRFA;
  - vi. request FAO to reflect the questions, as revised by the Working Groups, in future reporting formats through which countries report on the implementation of Global Plans of Action;
  - vii. request the Working Groups to review and revise, as appropriate the *Voluntary Guidelines to Support the Integration of Genetic Diversity into National Climate Change Adaptation Planning*, taking into account the need to address the vulnerabilities of genetic resources to climate change, in line with relevant international agreements, for consideration by the Commission;
  - viii. request FAO to fully consider BFA and GRFA in its future work on climate change, including the review of its Strategy on Climate Change;
  - xi. request FAO to increase capacity-building and training programmes on climate change adaptation and mitigation in collaboration with existing intergovernmental and international bodies; and

<sup>45</sup> FAO. 2017. FAO Strategy on Climate Change. Rome. (also available at http://www.fao.org/3/a-i7175e.pdf).

<sup>&</sup>lt;sup>43</sup> FAO. 2015. *Voluntary Guidelines to Support the Integration of Genetic Diversity into National Climate Change Adaptation Planning*. Rome. (also available at http://www.fao.org/3/i4940e/i4940e.pdf).

<sup>44</sup> http://www.fao.org/koronivia/en/

<sup>&</sup>lt;sup>46</sup> PC 130/12; PC 130/12 Supp.1.

x. request FAO to organize a global multi-stakeholder workshop on GRFA and climate change.

### APPENDIX I

## MULTI-YEAR PROGRAMME OF WORK: MAJOR OUTPUTS AND MILESTONES RELATED TO CLIMATE CHANGE (2021–2029)

18th Session	19th Session	20th Session	21st Session	22nd Session
2021	2023	2025	2027	2029
Review of work on climate change and GRFA	Results of country survey on GRFA and climate change  Review of revised Voluntary	Follow up to survey on GRFA and climate change		Review of work on climate change and GRFA
	Review of work on climate change and	Review of work on climate change and GRFA  Results of country survey on GRFA and climate change  Review of revised	2021  Review of work on climate change and GRFA  Results of country survey on GRFA and climate change  Review of revised Voluntary	2021  Review of work on climate change and GRFA  Results of country survey on GRFA and climate change  Review of revised Voluntary

#### APPENDIX II

## GENETIC RESOURCES FOR FOOD AND AGRICULTURE AND CLIMATE CHANGE DRAFT QUESTIONS

Climate change poses new challenges to the management of the world's genetic resources for food and agriculture (GRFA), but it also underlines their importance. The scoping study *The role of genetic resources for food and agriculture in climate change adaptation and mitigation* noted that the specifics of climate change adaptation and mitigation differ by sector. The present questionnaire is directed at national governments and aims to gather information at country level on activities related to the role of GRFA in climate change adaptation and mitigation.

Country:	
Prepared by (name, function):	
Date:	

Q1: Has your country conducted a stock taking/inventory of the current state of conservation and use of GRFA in the context of climate change adaptation and mitigation, and did this include an analysis of the strengths, weaknesses, opportunities and threats (SWOT)? Please indicate who took the action – government, research, non-governmental organization (NGO)/civil society organization (CSO) – and when?

	Yes, stocktaking and SWOT	Yes, stocktaking only	If yes, who and when?	No
Animal genetic resources for food and agriculture				
Aquatic genetic resources for food and agriculture				
Forest genetic resources				
Micro-organism and invertebrate genetic resources for food and agriculture				
Plant genetic resources for food and agriculture				

If the answer to Q1 is yes, please provide further information.

Q2: Has your country ensured that GRFA conservation and use concerns identified during the stock taking/inventory process have been addressed, taking account of national development goals in relevant areas such as food security, nutrition and health, rural development and environmental management?

	Yes	No
Animal genetic resources for food and agriculture		
Aquatic genetic resources for food and agriculture		
Forest genetic resources		
Micro-organism and invertebrate genetic resources for food and agriculture		
Plant genetic resources for food and agriculture		

If the answer to Q2 is yes, please provide further information.

Q3: Has your country assessed the impact of management practices on the sustainable use and conservation of GRFA and on the ecosystem services they deliver, and identified and validated those practices that are found to be most conducive to climate change adaptation and mitigation?

	Yes, comprehensive for the whole sector	Yes, for certain management practices in certain circumstances	Specify practices that are conducive	No
Animal genetic resources for food and agriculture				
Aquatic genetic resources for food and agriculture				
Forest genetic resources				
Micro-organism and invertebrate genetic resources for food and agriculture				
Plant genetic resources for food and agriculture				

If the answer to Q3 is yes, please provide further information.

Q4: Has your country, in the last five years, analysed current climate and future climate change scenarios with respect to the expected impact on GRFA, either on a national scale or via localized studies, taking into account the concerns of different genetic resources sectors and socio-economic implications for rural communities? If yes, please indicate who took the action – government, research, NGO/CSO.

	Yes, nation wide	Yes, local	If yes, who?	No
Animal genetic resources for food and agriculture				
Aquatic genetic resources for food and agriculture				
Forest genetic resources				
Micro-organism and invertebrate genetic resources for food and agriculture				
Plant genetic resources for food and agriculture				

If the answer to Q4 is yes, please provide further information.

Q5: Has your country, in the last five years, undertaken an assessment of the risk and vulnerability of GRFA use and conservation with regard to climate change? If yes, please indicate who took the action – government, research, NGO/CSO.

	Yes	If yes, who?	No
Animal genetic resources for food and agriculture			
Aquatic genetic resources for food and agriculture			

Forest genetic resources		
Micro-organism and invertebrate genetic resources for food and agriculture		
Plant genetic resources for food and agriculture		

If the answer to Q5 is yes, please provide further information.

In preparation

No

Q6: Has your country identified gaps and weaknesses in capacity to undertake the various actions associated with the use and conservation of GRFA in the context of climate change adaptation and mitigation? If yes, which gaps and weaknesses were identified?

Adaptation:

yes/no

Adaptation:

if yes,

Mitigation:

yes/no

Mitigation: if

yes, please

			please specify		specify		
Institutional	gaps and weaknesses						
Technical ga	aps and weaknesses						
Other	Other						
Q7: Which of	f the following areas ha	s your country con	nsidered in its 1	national adaptat	tion plan (NAP)?		
	Improving in situ an	d <i>ex situ</i> conserva	tion of GRFA				
	Improving character	ization and evalua	ation of GRFA,	including of co	onserved materials		
	Improving methods particular circumstan		d making avail	able appropriat	e GRFA for		
	Introducing new spe to changed condition		varieties and b	reeds likely to	be more adapted		
	Strengthening produ	ction system adap	otability and res	silience through	diversification		
	Improving the quality pollination, pest and						
	Developing better methods of breeding new crop, animal, forest and fish varieties, breeds and populations						
	Developing ways of capacity building, extension and information dissemination						
	☐ Increasing awareness of the value of GRFA						
	☐ Other: please specify						
needed to sup	or country have a specific poort the conservation as GRFA sectors?						
	Yes, finalized						

Q8.1: If answer to Q8 is "yes", please provide the title of the document, a description of it and a link.

Q8.2: If the answer process is your co		paration", at wh	ich step of	the	GRFA adapta	ation pla	an	
$\Box$ D	eciding on the fra	ame and scope o	f the adapt	atio	n plan			
$\Box$ U	ndertaking the re	quired vulnerabi	ility and ris	sk a	ssessments			
	Identifying the expected impacts of other changes							
	lentifying expecte	ed added impact	of climate	cha	inge			
	lentifying and ass	essing adaptatio	on options					
	stablishing iterati sks		-	g aı	nd managing (	GRFA r	elated	
Q9: If your country has no GRFA use and conservation						s it incl	uded	
			Yes		pecify the sect		No	
Animal genetic resources	for food and agr	iculture						
Aquatic genetic resources	s for food and agr	riculture						
Forest genetic resources								
Micro-organism and inve food and agriculture	rtebrate genetic r	resources for						
Plant genetic resources for	or food and agricu	ılture						
Q10: Which area(s) of matto and mitigation of climat		A does your co	untry cons	ider	as important	for ada <sub>l</sub>	otation	
	GRFA sector	Adaptation: yes/no	Adaptation if yes, please specify	on:	Mitigation: yes/no	Mitigatif yes, specif	please	
Characterization								
Sustainable use and selection								
Conservation								
Access and benefit- shari	ng							
	,							

Q11: Has your country established the institutional arrangements needed to strengthen the role of GRFA in national adaptation and mitigation planning?

Institutional arrangements for	Adaptation: yes/no	Adaptation: if yes, please specify	Mitigation: yes/no	Mitigation: if yes, please specify
GRFA in general				
Animal genetic resources for food and agriculture				
Aquatic genetic resources for food and agriculture				
Forest genetic resources				
Micro-organism and invertebrate genetic resources for food and agriculture				
Plant genetic resources for food and agriculture				

	If the answer to (	)11 is	yes, ple	ease provide	e further	informatio
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•	country prepared policy-relevant materials in order to increase the awareness of policy value of GRFA?
	Yes
	No

If the answer to Q 12 is yes, please provide further information.

Q13: Has your country identified, and included in some official national policy document, possible synergies, conflicts or trade-offs between the national adaptation/mitigation plan for GRFA and other aspects of national adaptation/mitigation planning?

	No	Yes	If yes, specify the sectoral or cross- sectoral policy/ plan	If yes, what are the synergies or trade- offs in question?
Animal genetic resources for food and agriculture				
Aquatic genetic resources for food and agriculture				
Forest genetic resources				
Micro-organism and invertebrate genetic resources for food and agriculture				
Plant genetic resources for food and agriculture				

Q14: In national planning related to the contributions of GRFA to climate change adaptation and mitigation, or in the preparation of policies or national plans on this subject, have the following been taken into account?

	Adaptation	Mitigation
The different characteristics of genetic resources and their conservation and use (specify the sector)		
The existing global plans of action for animal, forest and plant genetic resources (add new global plans of action when adopted) (please specify)		
The significance of an integrated approach across the different sectors of GRFA		
Other relevant instruments (please specify)		
The different institutions involved in the characterization, conservation and use of GRFA (please specify the sector)		
The collaborative arrangements developed to prepare country reports for <i>The State of the World</i> reports on plant, animal, forest and aquatic genetic resources (please specify the sector)		
The various institutional arrangements that link GRFA sectors to national agricultural, environmental, health and planning entities or agencies (please specify)		

Q15: Has your country established a country-level coordination mechanism to oversee and coordinate national adaptation planning for GRFA, via specific GRFA-focused instruments or as part of wider plans?

	Yes, please specify	No
Animal genetic resources for food and agriculture		
Animal genetic resources for food and agriculture included in livestock or agriculture sector plan		
Aquatic genetic resources for food and agriculture		
Aquatic genetic resources for food and agriculture included in aquaculture or fisheries plan		
Forest genetic resources		
Forest genetic resources included in forestry and agroforestry plan		
Micro-organism and invertebrate genetic resources for food and agriculture		
Plant genetic resources for food and agriculture		
Plant genetic resources for food and agriculture included in agriculture and horticulture plan		
Several sectors of GRFA included in an integrated plan covering agriculture sectors		
Several sectors of GRFA included in land use or rural development plans		

Q16: Has your country established a country-level coordination mechanism to oversee and coordinate implementation of national mitigation planning for GRFA, via specific GRFA-focused instruments or as part of wider plans?

	Yes, please specify	No
Animal genetic resources for food and agriculture		
Animal genetic resources for food and agriculture included in livestock or agriculture sector plan		
Aquatic genetic resources for food and agriculture		
Aquatic genetic resources for food and agriculture included in aquaculture or fisheries plan		
Forest genetic resources		
Forest genetic resources included in forestry and agroforestry plan		
Micro-organism and invertebrate genetic resources for food and agriculture		
Plant genetic resources for food and agriculture		
Plant genetic resources for food and agriculture included in agriculture and horticulture plan		
Several sectors of GRFA included in an integrated plan covering agriculture sectors		
Several sectors of GRFA included in land use or rural development plans		

Q17: Has your country, either on a national scale or via localized studies, implemented the following types of projects related to GRFA and climate change adaptation? Please include all projects, including those undertaken by the private sector or NGOs.

	PGR	FGR	AnGR	AqGR	MIGR
Capacity-development programmes for GRFA and climate change stakeholders					
Public-awareness campaigns					
Targeted selection and breeding					
Community-based testing programmes for new materials					
Research activities					
Conservation of GRFA					
Other					
No projects have been implemented					

If the answer to Q 17 is yes, please specify.

•	country undertaken monitoring and impact assessment of the implementation of ts or programmes mentioned in the questions above?
	Yes
	No
If the answer to	Q18 is yes, please describe how the impact was monitored and the results.